

## Changes in environmental salinity during the life of *Pangasius krempfi* in the Mekong Delta (Vietnam) estimated from otolith Sr : Ca ratios

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**Abstract.** *Pangasius krempfi* is a commercially important catfish in the Mekong River and is believed to migrate along the Mekong River basin. To verify this migration, elemental concentrations were measured in the water and in otoliths to infer the salinity of the water through the fish's lifetime. In 2017, eight element concentrations were measured along the Mekong Delta using solution-based inductively coupled plasma mass spectrometry (ICP-MS). Concentrations of Sr, Li and Rb were strongly and positively correlated with salinity. Otoliths were taken from *P. krempfi* caught in the brackish waters of the lower Mekong Delta and seven element : Ca ratios were measured from the core to the otolith edge using laser ablation ICP-MS. The Sr : Ca, Ba : Ca, P : Ca and Mn : Ca ratios varied through the lifetime of the fish, but only Sr : Ca was suitable for estimating ambient salinity. The Sr : Ca profiles in otoliths were analysed and significantly correlated between individuals, with all fish hatched in water with very low levels of salinity, indicating a single freshwater spawning ground, and then living in waters with higher salinity, with two types of migration behaviour. Some individuals may return to low-salinity waters when older. These conclusions were supported by the Ba : Ca and Mn : Ca ratios. These migration patterns may have implications for fishery management.

**Additional keywords:** behaviour, climate change, diadromous fish, microchemistry, Pangasiidae.

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### Introduction

Understanding the movement patterns of migratory fish is essential for fishery management and conservation across large spatial scales (Gillanders 2005a; Carlson *et al.* 2017). In some major rivers, knowledge of these movements has become a priority for management because fish caught in one country may have migrated across several other countries (Hogan *et al.* 2007; Fukushima *et al.* 2014). Migratory fish in fresh water are particularly vulnerable because the water properties and the connectivity of different habitats may change (Poulsen *et al.* 2004). Although migration across national boundaries has an effect on fisheries, it is also necessary to understand the life history traits in the various habitats because human activities and climate change may have different effects on different life history stages (Poulsen *et al.* 2004; Dugan *et al.* 2010).

Catfish species (Siluriformes) are very important target species for fisheries and aquaculture in South-east Asia. Catfish aquaculture is one of the most developed socioeconomic sectors in this area, relying upon only two freshwater species from the

Pangasiidae family: *Pangasianodon hypophthalmus*, the striped catfish or sutchi catfish, and *Pangasius bocourti*, the basa catfish (Halls and Johns 2013; Quyen *et al.* 2017). In the Mekong River basin, wild catfish are an important resource for income and food for local populations. Most wild catfish caught in the Mekong River are migratory (Baird *et al.* 2004; Poulsen *et al.* 2004). One of these, *Pangasius krempfi* Fang & Chauv, 1949 (Pangasiidae), is a diadromous, large-bodied catfish classified as 'Vulnerable' in the International Union for Conservation of Nature (IUCN) Red List, and commands a higher economic value in local markets than cultivated catfish or other wild catfish species (Poulsen *et al.* 2004; Hogan *et al.* 2007; Baird 2013). *P. krempfi* is one of 13 Pangasiidae species known to be present in the Mekong River and is commercially important in the Mekong Delta, Cambodia and Laos (Poulsen *et al.* 2004; Rainboth *et al.* 2012). *P. krempfi* has a wide geographic distribution in the Mekong River basin, from China to Thailand, Laos, Cambodia and Vietnam, but is primarily found in the middle and lower Mekong basin (Rainboth 1996; Poulsen *et al.*

2004; Vidthayanon 2008). In the Mekong Delta, *P. krempfi* has been found and caught in estuarine zones in both the main branches and in the Vam Nao Canal (Poulsen *et al.* 2004; Vu *et al.* 2009). This species could be a candidate for shifting catfish aquaculture from freshwater to brackish water in the Mekong Delta affected by climate change and recent saline intrusion (Joint Assessment Teams 2016; Southern Institute of Water Resources Research 2016). Although *P. krempfi* is commercially important, its migration patterns have received little attention. Studies of *P. krempfi* collected in Laos, more than 20 years ago, provided some evidence of possible long-distance migrations and a marine life stage for *P. krempfi* using a combination of catches, otolith Sr:Ca ratios and the stable isotope ratios of carbon and nitrogen (Baird *et al.* 2004; Hogan *et al.* 2007). However, these studies were restricted to a specific area and fish caught in estuarine and marine areas were not included.

Fish considered to be *P. krempfi* may be two different species in the Mekong (Rainboth 1996) or there may be two contingents of *P. krempfi* with different migration patterns (Poulsen *et al.* 2004). However, neither of these hypotheses has been confirmed. The spawning grounds, migration patterns and the timing of spawning and migration of *P. krempfi* are not fully known (Poulsen *et al.* 2004; Baird 2013), although *P. krempfi* is caught in the Khone Falls area (Laos) from May to July and in the Mekong Delta almost all year around (Poulsen *et al.* 2004). Therefore, the spawning grounds are thought to be upstream in the Mekong River, around the Khone Falls area, which has rapids and deep pools, and the young fish migrate rapidly downstream, spending most of their life in estuarine areas or at sea (Poulsen *et al.* 2004; Hogan *et al.* 2007). However, the *P. krempfi* population in the wild is declining and it has become vulnerable due to threats from overexploitation and habitat degradation. Dams and water management are changing migration behaviour by modifying the water flow and habitats, potentially reducing genetic diversity and connectivity, and the increased mortality is reducing the sustainable population size (Hogan 2011a; Baird 2013; Junge *et al.* 2014). Understanding *P. krempfi* migration patterns has become essential for monitoring and managing the population(s), as well as for exploiting this species for aquaculture (Baird 2013).

Otolith microchemistry can be used as an effective method to understand fish movements across different habitats. Fish otoliths (ear stones) are calcified structures that grow in regular cycles (daily and seasonal) and contain and reflect detailed changes in temperature and element concentrations in an individual fish's environment (Campana 1999). When combining microchemistry with age estimation, otoliths can provide valuable information about an individual fish's life history, including potential migrations for species that pass through different habitats (Wells *et al.* 2003; Panfili *et al.* 2009, 2012; Fukushima *et al.* 2014). Environmental conditions during a fish's life can be indicated by the composition of the otoliths, such as Sr, Zn, Pb, Mn, Ba and Fe concentrations, which can show differences, for example, between freshwater and marine environments (Campana 1999; Wells *et al.* 2003). Sr, Ca and Mg concentrations in the water have been found to be linearly correlated with salinity, whereas the Ba concentration may show segmented linear (negative then positive) relationships with salinity

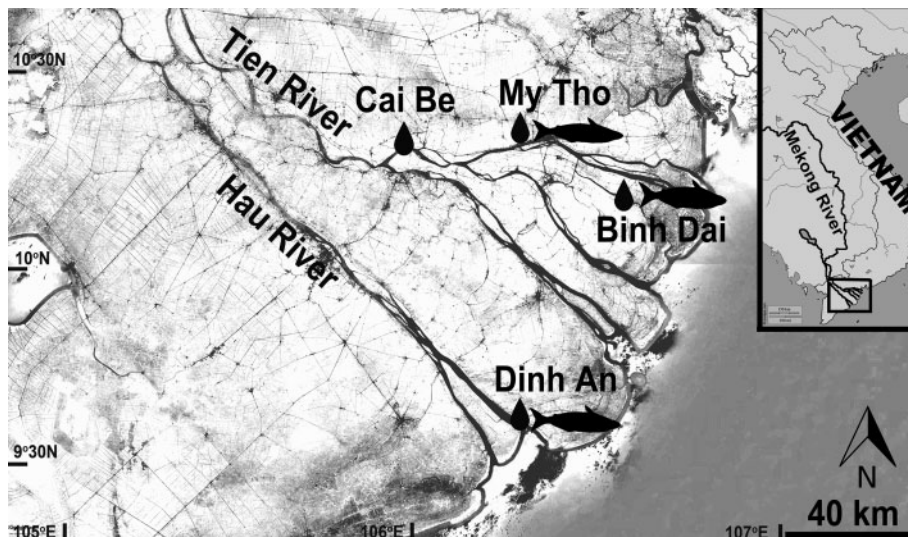
(Gillanders and Munro 2012). Element incorporation into otoliths is affected by temperature (Webb *et al.* 2012) and by salinity (Labonne *et al.* 2009), and, in some species, is subject to strong incorporation regulation (Panfili *et al.* 2015). Most of the time, studies use element to Ca ratios in otoliths to investigate variations in element incorporation. Changes in Sr:Ca and Ba:Ca ratios through the lifetime are related to the salinity of the ambient water and have proved to be a useful means of inferring the movements between freshwater and estuarine habitats with different salinity levels (Campana 1999; Gillanders 2005a; Zimmerman 2005). Therefore, element concentrations in otoliths may be useful in assessing the movements of *P. krempfi* in the Mekong Delta. Based on Sr:Ca profiles, Hogan *et al.* (2007) found evidence of migrations for *P. krempfi* in both marine and brackish environments for fish caught in the Lao reaches of the Mekong River Basin. This was based on the assumption that otolith Sr:Ca was linearly related to ambient salinity.

In the present study we hypothesised that *P. krempfi* is an anadromous catfish that undertakes long-distance migrations and spends a part of its life in the estuarine waters of the lower Mekong, as proposed by Poulsen *et al.* (2004) and Hogan *et al.* (2007). These previous studies were extended and improved by collecting fish in the lower Mekong, by using advanced methods for otolith elemental microchemistry (solution-based and laser ablation inductively coupled plasma mass spectrometry (ICP-MS)), combined with age estimation, and by determining the relationships between salinity and element concentrations in ambient water at different points in the river. The aim of the study was to evaluate the possibility that *P. krempfi* caught in the Mekong Delta had moved between environments with different salinities during its lifetime. The specific objectives of the study were to: (1) estimate the concentrations of specific elements in the water at various points in the delta; (2) assess the changes in otolith chemistry (element:Ca ratios) during the life of *P. krempfi* caught in the lower Mekong Delta; and (3) determine the effective movements between habitats with different salinities during the lifetime of the fish. The elements Mg, P, Ca, Mn, Zn, Sr, Ba and Pb were selected as key elements in otoliths to investigate relationships between otolith composition and salinity.

## Materials and methods

### Study area

The Mekong is a major river in South-east Asia, rising in the Tibetan Plateau and crossing six countries (China, Myanmar, Laos, Thailand, Cambodia and Vietnam). After crossing the Tonle Sap Lake in Cambodia, the river divides into two distributaries, the Mekong (Tien River) and the Bassac (Hau River), which create a major delta in Vietnam that drains into the South China Sea through nine river mouths (Fig. 1). The Mekong Delta in Vietnam covers ~39 000 km<sup>2</sup> (Vo 2012) and its hydrology depends strongly on upstream water flows, rainfall and tidal movements. The rainy season is from May to November, and the dry season is from December to April. At the end of the dry season, the Mekong Delta coastal areas are usually strongly affected by saline intrusions from the sea. Saline waters can reach up to 90 km inland from the river mouths (Southern Institute of Water Resources Research 2016).



**Fig. 1.** Map of the sampling sites for water (black drops) and fish (black fish) in the Tien River and Hau River in the Mekong Delta, Vietnam. Image modified from Google Earth Pro.

Both water and fish were sampled from March to June 2017, mainly targeting the lower Mekong Delta near the sea, and the two main branches (the Tien River and the Hau River; Fig. 1). The sites in the Tien River were selected along the gradient of abnormally high salinity in April 2016 (Southern Institute of Water Resources Research 2016). The aim was to capture only individuals close to brackish waters (in the delta) and to determine their potential migrations to other parts of the Mekong River basin with higher or lower salinity.

#### Water sampling and element concentration analyses

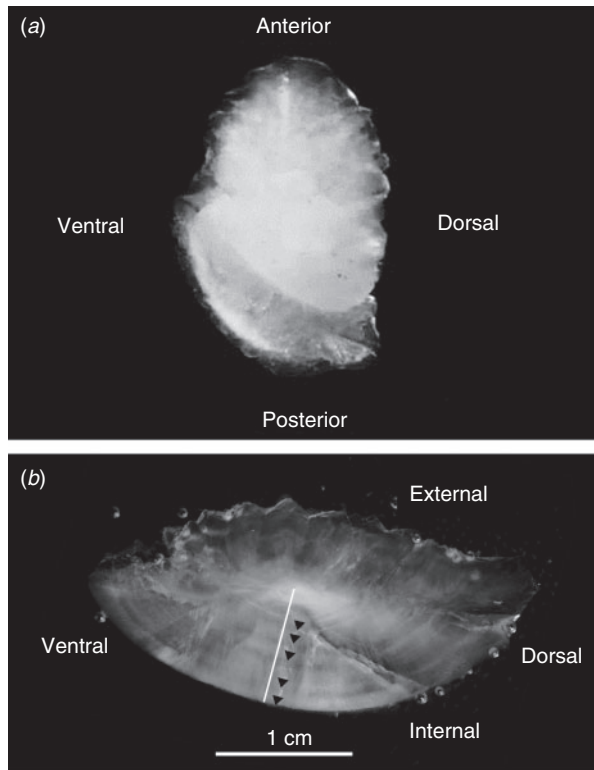
Water samples were collected at four main sites in the main branches (Fig. 1). First, 15-mL tubes were cleaned with pure nitric acid, rinsed and then filled with Milli-Q water until sampling. At each sampling site, 10 mL of surface water was collected in the tube and fixed with 0.2 mL of 2% ultrapure nitric acid. Microchemical analyses were performed using solution-based ICP-MS (7700x; Agilent, Santa Clara, CA, USA) at OSU OREME (Observatoire des Sciences de l'Univers – Observatoire de REcherche Méditerranéen de l'Environnement, University of Montpellier, Montpellier, France). Samples were filtered to 0.22 µm to remove suspended particles and diluted using milli-Q water by a factor between two and four depending on the salinity. Indium ( $^{115}\text{In}$ ) and Bismuth ( $^{209}\text{Bi}$ ) were used as internal standards. Concentrations were determined by external calibration using multi-element solutions with concentrations in the range 0.25–5 ppb. We measured concentrations (ppb) of  $^7\text{Li}$ ,  $^{55}\text{Mn}$ ,  $^{66}\text{Zn}$ ,  $^{85}\text{Rb}$ ,  $^{86}\text{Sr}$ ,  $^{118}\text{Sn}$ ,  $^{138}\text{Ba}$  and  $^{208}\text{Pb}$ . Polyatomic interference was limited by keeping the oxide level below 1%. The certified material SLRS-6 (National Research Council Canada, Ottawa, ON, Canada) was used and typical analytical precisions reached by this technique are generally between 1 and 3% relative standard deviation. Ca concentrations could not be measured in the water, but the Sr : Ba ratios were calculated for comparison with the otoliths (see below).

#### Fish and otolith sampling

*P. krempfi* were caught in the Tien River in Binh Dai (Ben Tre Province) and My Tho (Tien Giang Province), as well as in the Hau River in Dinh An (Tra Vinh Province; Fig. 1). All individuals were collected on fish landing or in markets after confirming the provenance. Targeted fishing could not be used because the species is rare and caught by night fishing. Most of the collected fish were from Binh Dai because it was difficult to find the fish in the other areas during the sampling period. In all, 30 individuals were collected randomly with a wide range of lengths and weights. Specimens were measured (total length, TL, and fork length, FL, mm) and weighed (g). The right largest otolith (the lapillus; Fig. 2) was extracted using plastic forceps from a sagittal head section (because the skull is very thick), cleaned in 99.9% ethanol and stored dry in 1.5-mL microtube vials.

#### Otolith preparation and element concentration analyses

Whole otoliths were first photographed on both sides (internal and external) using a binocular microscope and reflected light against a dark background (Motic 2.0 video camera, Wetzlar, Germany). Seasonal increments were not clearly visible on the whole otoliths. These images were then used for determination of the transverse sectioning planes. Each otolith was then embedded in epoxy resin (polymerised in an oven at 40°C for 24 h) and sliced transversally using a low-speed cutting machine (Isomet saw Buehler, Esslingen am Neckar, Germany). A cut was made either side of the otolith core to obtain 1-mm sections including the core. The posterior face of each otolith section was then polished using 1200-, 2400- and 4000-grit dry abrasive paper until the core was reached. The sections were then sonicated for 5 min in ultrapure water, dried under a Class 100 laminar flow hood and attached to a clean microscope slide for further processing. The otolith sections were photographed using a binocular microscope with reflected light against a dark background (Motic 2.0 video camera). The seasonal growth increments were interpreted on the section from the core to the



**Fig. 2.** (a) Whole otolith and (b) transverse otolith section after analysis by laser ablation inductively coupled plasma mass spectrometry of an individual *Pangasius krempfi* (total length 800 mm, weight 4759 g). The white line shows the laser transect. The translucent (dark) annual bands are identified by black triangles. Images were taken under reflected light with a dark background.

internal face of the otolith as alternate opaque and translucent bands (Fig. 2). The translucent bands were counted to estimate the age, in seasons, and their distance from the otolith core was measured (for age verification and growth modelling, see Fig. S1 of the Supplementary material).

Element concentrations (ppb) in the otoliths were measured using laser ablation ICP-MS (FINNIGAN- Element2 XR, Waltham, MA, USA, coupled to a Lambda Physik 193-nm laser, Göttingen, Germany) at AETE-OSU OREME (Analyse des Eléments en Trace dans l'Environnement & Isotopes – Observatoire des Sciences de l'Univers – Observatoire de REcherche Méditerranéen de l'Environnement, University of Montpellier, Montpellier, France). For each otolith, concentrations were measured along a transect from the core to the edge (as for age estimation; Fig. 2). The transect was ablated in two passes. The first pass (pulse rate 4 Hz, energy  $15 \text{ J cm}^{-2}$ , spot diameter  $77 \text{ }\mu\text{m}$ ) removed residual surface contamination before the measurement pass (pulse rate 7 Hz, speed  $20 \text{ }\mu\text{m s}^{-1}$ , energy  $15 \text{ J cm}^{-2}$ , spot diameter  $51 \text{ }\mu\text{m}$ ). For calibration and machine drift correction, a glass reference material (NIST 612; National Institute of Standards and Technology, Gaithersburg, MD, USA) was analysed at the start of each session, every five samples and at the end of each session. A second reference material (Multi Axis Crystal Spectrometer, MACS, National Institute of Standards and Technology; Rodriguez *et al.* 2008;

Strnad *et al.* 2009) was also analysed during the session for quality control. To remove residual sample gas that may have interfered with the analysis, the laser chamber was purged for 30 s before the analysis of each sample. Seven elements were chosen as the most commonly used in the literature:  $^{24}\text{Mg}$ ,  $^{31}\text{P}$ ,  $^{55}\text{Mn}$ ,  $^{66}\text{Zn}$ ,  $^{86}\text{Sr}$ ,  $^{138}\text{Ba}$  and  $^{208}\text{Pb}$ . Most of these were above the detection limit in each otolith, except  $^{66}\text{Zn}$  and  $^{208}\text{Pb}$ .  $^{43}\text{Ca}$  was used as the internal standard and concentrations are expressed as ratios to  $^{43}\text{Ca}$  (ppb/ppb). For each chemical element, the counts per second (CPS) were divided by the  $^{43}\text{Ca}$  CPS and corrected using the ratios measured for the NIST 612 and the reference values (ppb/ppb). All raw data were processed using the elementR package for R (see <https://cran.r-project.org/web/packages/elementR/index.html>, <https://github.com/charlotte-siro/elementR>, accessed 11 June 2019; Siro *et al.* 2017), including blank subtraction, limit of detection correction, reference material and sample reduction, machine drift correction and outlier detection (for details, see Siro *et al.* 2017). Of these elements, only Sr, Ba and Mn were also measured in the river water; other elements were used to confirm the change in fish environment. The Sr : Ca and Ba : Ca ratios were selected as the main target for studying the relationship with salinity. In addition, otolith Sr : Ba was examined as a direct comparison to water concentrations.

#### Statistical analysis

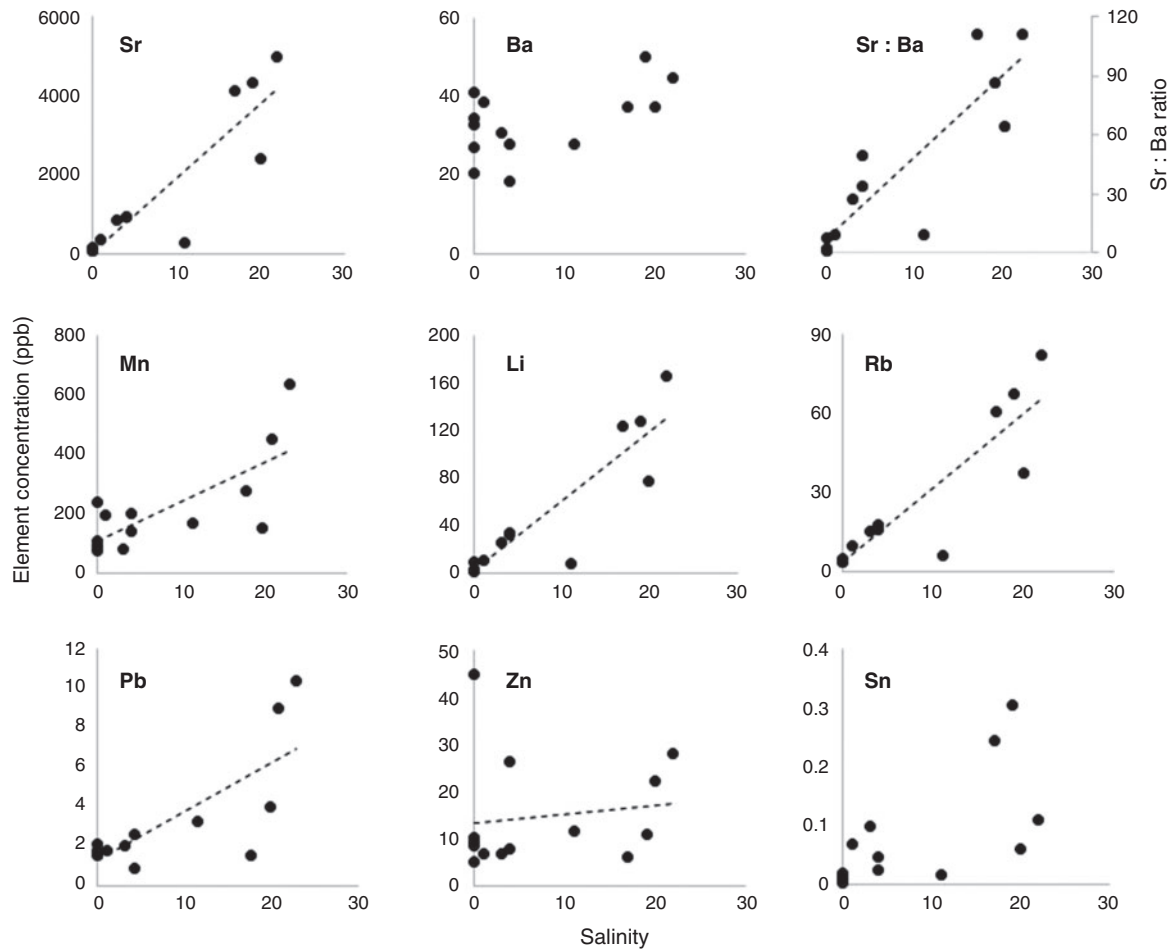
Relationships between element concentrations in the water and salinity were established using linear regression. The element : Ca profiles for each otolith were established using moving means of three adjacent values at a given distance from the core to the edge. The overall variations in the element : Ca mean profile was tested by comparing the average profile to each individual's profile. Correlations of element : Ca ratios between individuals were tested using a correlation matrix for the profiles with pairwise comparisons. To test for similarity of the Sr : Ca ratio profiles between individuals, we used a hierarchical cluster analysis (HCA) based on the Euclidian distances between their Sr : Ca profiles and Ward's method for clustering. Differences between the mean ratios of the clusters identified by HCA were tested by one-way analysis of variance (ANOVA). The statistical analyses were performed using R (ver. 3.6.0, R Foundation for Statistical Computing, Vienna, Austria) and Statistica (ver. 13.5, StatSoft, Hamburg, Germany).

Unless indicated otherwise, data are presented as the mean  $\pm$  s.d.

## Results

### Water element signatures

Fourteen water samples from the four main sites were collected, with salinities ranging from 0 to 22. Linear regressions between element concentration and salinity showed different patterns for Sr, Ba and Mn. The regression was highly significant and positive for Sr, as well as for Li and Rb (Fig. 3; Table 1;  $R^2 > 0.80$ ,  $P < 0.05$ ). For Mn and Pb, the regressions were less significant ( $R^2 = 0.55$  and  $0.58$  respectively,  $P < 0.05$ ), whereas for Ba, Sn and Zn the regression was not significant ( $R^2 < 0.49$ ,  $P > 0.05$ ; Table 1 and Fig. 3). The Sr : Ba ratio was significantly correlated with salinity, as indicated by a positive linear regression ( $R^2 = 0.78$ ; Fig. 3).



**Fig. 3.** Linear regressions between element concentrations in the water and salinity in the Lower Mekong delta in March–June 2017, and the linear regression between the Sr : Ba ratio (ppb/ppb) and salinity.

**Table 1.** Linear regressions between element concentrations in the water and salinity

[Element] is the element concentration (ppb) or ratio. S, salinity. Regressions are significant at: \*,  $P < 0.05$

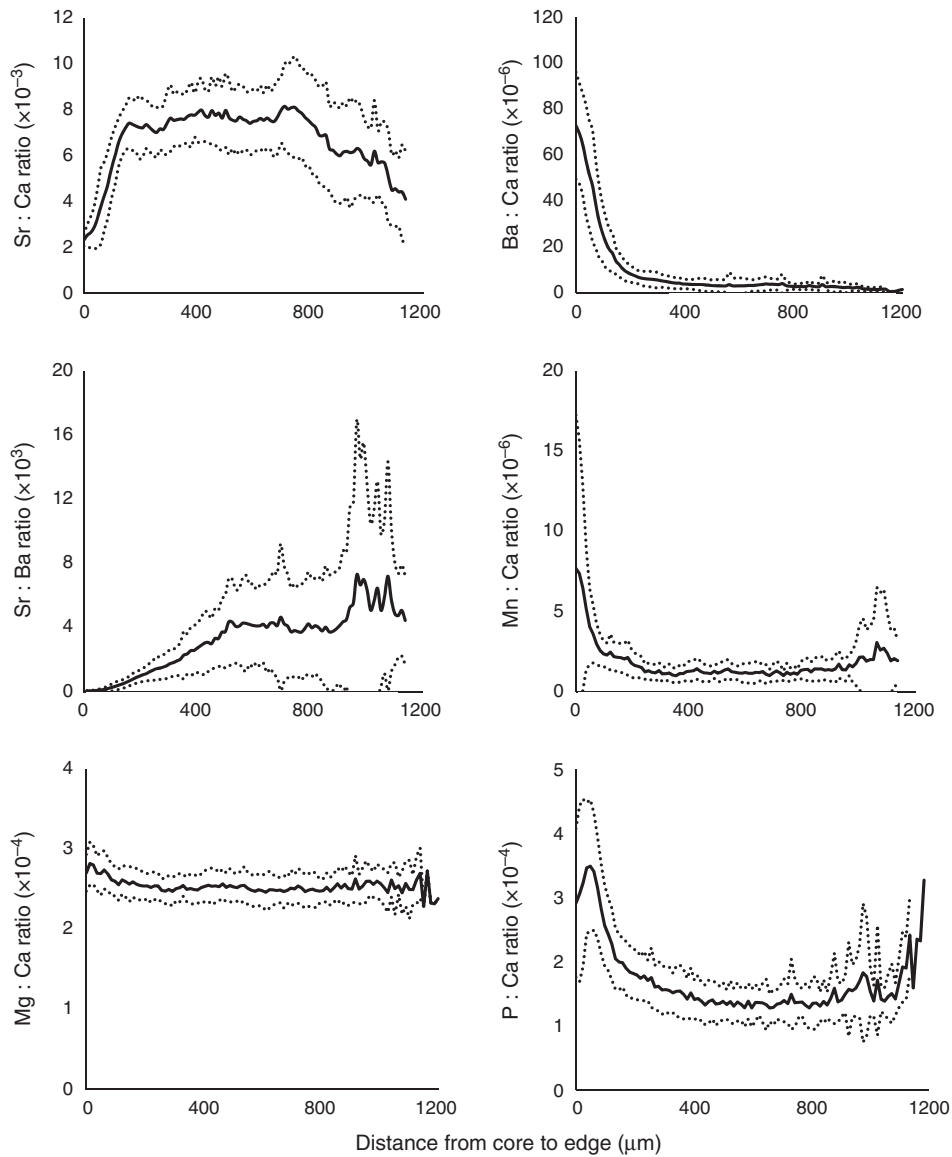
Element	Linear regression between water salinity and [element] ( $n = 14$ )	$R^2$
Lithium	$[Li] = 5.88 \times S + 1.98$	0.821*
Manganese	$[Mn] = 13.84 \times S + 107.43$	0.560*
Zinc	$[Zn] = 0.19 \times S + 13.39$	0.019
Rubidium	$[Rb] = 1.83 \times S + 3.20$	0.809*
Strontium	$[Sr] = 188.07 \times S + 51.32$	0.820*
Tin	$[Sn] = 0.01 \times S + 0.02$	0.456
Barium	$[Ba] = 0.58 \times S + 29.38$	0.315
Lead	$[Pb] = 0.26 \times S + 1.30$	0.590*
Sr : Ba	$[Sr : Ba] = 4.18 \times S + 7.03$	0.780*

#### Otolith element concentrations throughout the lifetime

The largest of the 30 fish was 845 mm TL and the smallest was 166 mm TL. The translucent increments on the otolith sections could not be clearly identified for 5 of the 30 fishes (17%), and

so they were discarded from further analysis. The remaining 25 otoliths were then analysed successfully for element concentrations and element : Ca ratios throughout the life of the fish. The maximum number of translucent increments was 5 and the minimum was 1, so the ages were taken to be between 1 and 5 years (see Fig. S1 and S2 of the Supplementary material).

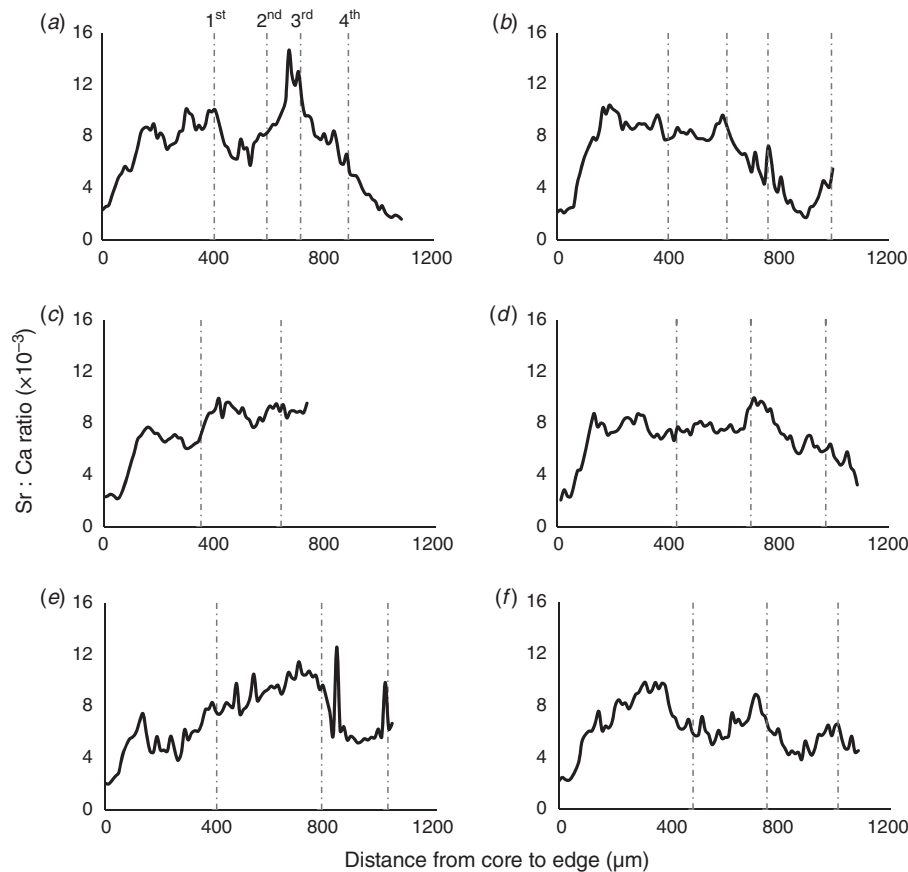
Of the seven element : Ca ratios in *P. krempfi* otoliths, Sr : Ca, Ba : Ca, Mn : Ca and P : Ca varied greatly throughout the lifetime, whereas Mg : Ca was fairly constant (Fig. 4). Zn : Ca and Pb : Ca were below the limits of detection and therefore not considered further. Individual Ba : Ca profiles showed a consistent pattern for all individuals: a very high Ba : Ca at the core ( $77.4 \pm 2.4 \times 10^{-6}$ ) with a fast decrease to a low plateau before the end of the first year, with an average ratio of  $9.8 \pm 5.0 \times 10^{-6}$  until the end of life (Fig. 4). In some otoliths, the Ba : Ca ratio also exhibited a small peak after the first year, but this did not correspond to any visible feature in the otolith structure. Mn : Ca and P : Ca profiles showed similar patterns to that of the Ba : Ca profile, but more variable at the end of the life, with a strong increase in the P : Ca ratio. The Mg : Ca profiles looked fairly constant, with a very small peak at the core and becoming stable after that.



**Fig. 4.** Element : Ca ratio profiles (for 25 individuals) along a transect from the core to the edge of the transverse otolith section. The solid lines indicate mean values; dotted lines indicate the s.d.

Because the Sr concentration in the water was significantly positively correlated with salinity, Sr:Ca was selected for inferring the migration patterns between different environmental salinities. The Sr : Ca profiles showed a similar pattern for all individuals at the beginning of their life, with very low Sr : Ca ratios close to the core ( $2.16 \pm 0.26 \times 10^{-3}$ ) and rising to the first peak ( $7.62 \pm 1.14 \times 10^{-3}$ ) at  $142 \pm 40 \mu\text{m}$  from the core (Fig. 4, 5, and Fig. S3 of the Supplementary material). This distance was not associated with a specific growth mark (or region) on the otolith. Most profiles showed a peak Sr : Ca of  $8.3 \pm 2.4 \times 10^{-3}$  at  $\sim 860 \mu\text{m}$  from the core before decreasing. The Sr : Ca profile for five large individuals decreased strongly towards the edge, with a final value close to that of the core ( $2.68 \pm 0.75 \times 10^{-3}$ ; Fig. 5a, b). The Sr : Ba ratios throughout fish life (i.e. the otolith profile) showed very small variations at

the beginning of life, followed by an increase in this variation and maximal variation at the end of life (Fig. 4). This implies a similar, possibly common, habitat at birth and during early life stages, and different migratory behaviours, with different salinities crossed, until the end of life. The intercorrelations between individual Sr : Ca profiles were high (mean = 0.57), except for two individuals collected at Binh Dai (BD10 and BD13; Fig. 6). The overall variations in the Sr : Ca mean profile (Fig. 4) were not significant. The mean values of Sr : Ca varied with age and were significantly different between years (Table 2;  $P < 0.05$ ), except between the second and third years ( $P = 0.06$ ) and the first and fifth years ( $P = 0.08$ ). HCA of the general form of each individual Sr : Ca profile (Fig. 7) showed two main groups: one group with highly variable Sr : Ca (36% of individuals; Group 1) with high peaks (Fig. 5a, c, e), and another



**Fig. 5.** Two main types of Sr:Ca profiles from the core to the otolith edge for the *Pangasius krempfi*, namely those with major variations (i.e. Group 1; *a, c, e*) and those with minor variations (i.e. Group 2; *b, d, f*). The two bigger otoliths (*a, d*) showed a decrease in the Sr:Ca ratio at the end of the fish's lifetime to values close to those at the beginning. Vertical dotted lines indicate the end of each year based on the position of the annual increments.

group with less variable Sr:Ca (64% of individuals; Group 2) and smaller peaks (Fig. 5*b, d, f*). The two groups showed significant differences in Sr:Ca ratios between each pair of the same year, except for the fifth year (ANOVA,  $P < 0.05$ ). The intercorrelations between individual Sr:Ca profiles were higher in Group 1 than Group 2 (0.72 v. 0.49 respectively). In Group 1, all individuals showed complex differences in Sr:Ca ratios between years (Table 2). In contrast, the Sr:Ca ratios of Group 2 showed similar values between years, except between the first and fifth years, and between the second and third years (Table 2).

## Discussion

Climate change, sea level rise, the construction of upstream dams and habitat changes have affected and will continue to affect fish migration in the Mekong River and its delta. *P. krempfi* is a commercially valuable species living in the brackish waters of the Mekong Delta and, being diadromous, it could be affected by major changes in its habitats (Poulsen *et al.* 2004; Hogan *et al.* 2007; Baird 2013). A study of its behaviour is then a precondition for evaluating the level of the effects of global changes in the Mekong. This study used elemental concentration profiles in otoliths, and primarily Sr:Ca ratios,

together with an analysis of elemental concentrations in the water to infer the movements of the catfish *P. krempfi* throughout its lifetime (Campana 1999).

### *Relationships between water element concentrations and salinity in the Mekong Delta*

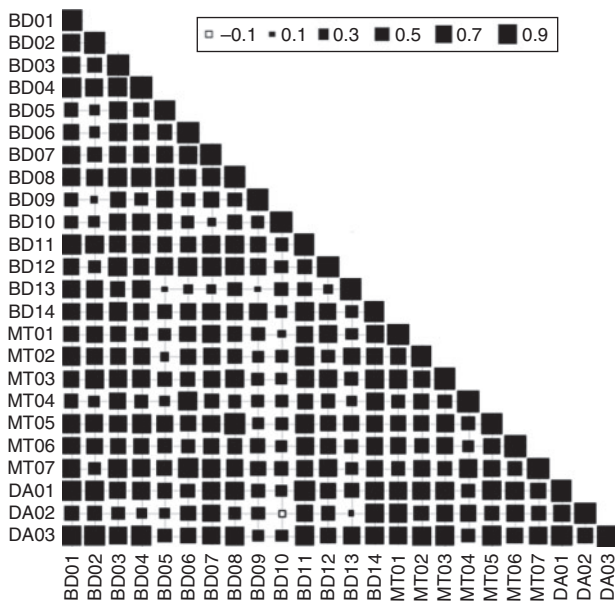
The Sr concentration in the water was strongly correlated with salinity in the Mekong Delta. This agrees with numerous previous studies that have shown positive correlations between Sr concentrations in natural waters and salinity up to hypersaline conditions (Gillanders 2005*a*; Diouf *et al.* 2006; Gillanders and Munro 2012). Sr incorporated into the otolith could then be a good indicator of the salinity of the fish's environment in the Mekong Delta, and therefore useful for identifying migrations between waters with different salinities. Previous studies have indicated that Ba concentrations in the water are negatively correlated with salinity (Nozaki *et al.* 2001) and are independent of temperature (Webb *et al.* 2012). However, the correlation between Ba concentration and salinity in the present study was positive but not significant ( $R^2 = 0.315$ ,  $P < 0.05$ ). This may be due to flood events during the sampling period (beginning of the rainy season), which could have brought Ba from upstream

tributaries to the higher salinity zones downstream, or from groundwater or intertidal sediments (Nozaki *et al.* 2001; Gillanders 2005a; Gillanders and Munro 2012). The relationship between salinity and Ba concentration in the Mekong River could be clarified by increasing the number of samples along a salinity gradient. The correlation between the Sr: Ba ratio and water salinity was linear, positive and significant, but strongly affected by the relationship between Sr concentration in the water and salinity. Thus, Ba concentration in the otolith is not a good candidate for estimating the salinity of a fish's environment. Li and Rb concentrations in the water have a positive relationship with water salinity, and this has also been reported by others (Hicks *et al.* 2010). Nevertheless, although Li and Rb were not measured in otoliths in the present study, they could be variables that could be added in future research if other elements

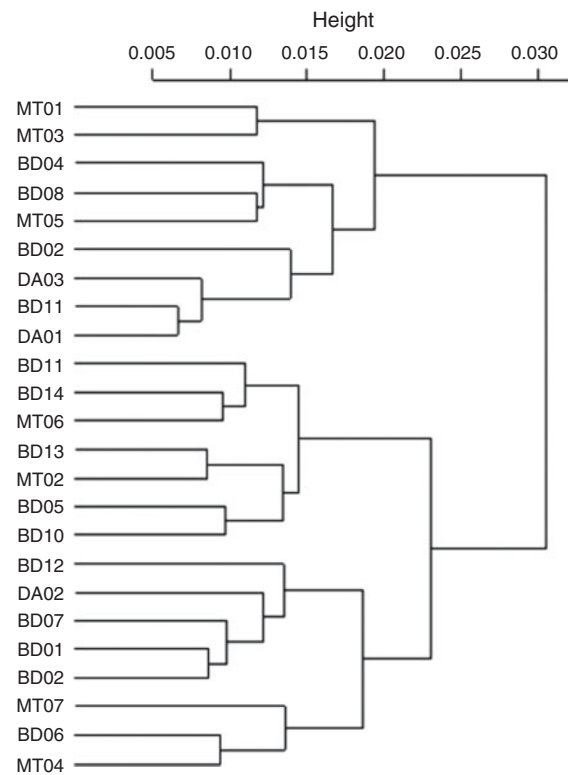
are not powerful enough. Finally, the concentrations of other elements (Mn, Pb, Sn and Zn) showed weak or no correlation with salinity in the Mekong Delta.

*Element concentrations in the otolith*

The concentrations of Sr, Ba, Mn, Mg, P, Ca, Zn and Pb were measured along the otolith growth profile and the element : Ca ratios (ppb/ppb) were used to infer the movement history of each individual. Combining the element : Ca profiles with age estimation can indicate the migration history as a function of age



**Fig. 6.** Graphical representation of the pairwise correlation matrix for Sr:Ca profiles. Codes starting with BD are for individuals caught in Binh Dai, those starting with MT are for individuals caught in My Tho and those starting with DA are for individuals caught in Dinh An (see Fig. 1). Numbers after codes (e.g. BD01, BD02) are different individuals at the BD site.



**Fig. 7.** Dendrogram from the hierarchical cluster analysis of the Sr:Ca profiles of *Pangasius krempfi* otoliths based on the Euclidian distances between profiles, using Ward's method for aggregation. Two contingents are highlighted.

**Table 2.** Mean ( $\pm$ s.d.) and range of Sr:Ca ratios in otoliths of all *Pangasius krempfi* individuals and individuals belonging to two contingents overall and for each year separately

Values with the same letters are not significantly different within columns (one-way ANOVA,  $P > 0.05$ ). Fish in Group 1 (36% of individuals) had highly variable Sr:Ca ratios, with high peaks, whereas fish in Group 2 (64% of individuals) had less variable Sr:Ca ratios and smaller peaks

	All individuals		Group 1		Group 2	
	Mean $\pm$ s.d.	Range	Mean $\pm$ s.d.	Range	Mean $\pm$ s.d.	Range
Core edge	6.85 $\pm$ 1.97	1.60–14.65	7.34 $\pm$ 2.26	1.60–14.65	6.55 $\pm$ 1.79	1.75–11.54
1st year	6.37 $\pm$ 1.99 <sup>a</sup>	1.76–10.40	6.14 $\pm$ 2.27 <sup>de</sup>	1.76–10.10	6.49 $\pm$ 1.96 <sup>h</sup>	1.88–10.40
2nd year	7.53 $\pm$ 1.43 <sup>b</sup>	4.32–8.50	8.51 $\pm$ 1.19 <sup>n</sup>	5.76–13.24	6.98 $\pm$ 1.22 <sup>i</sup>	4.32–10.96
3rd year	7.31 $\pm$ 2.06 <sup>b</sup>	2.23–14.65	8.39 $\pm$ 1.87 <sup>df</sup>	5.17–14.65	6.58 $\pm$ 1.79 <sup>j</sup>	2.23–11.54
4th year	6.72 $\pm$ 2.22 <sup>c</sup>	1.70–11.16	7.88 $\pm$ 1.91 <sup>g</sup>	2.93–12.00	5.72 $\pm$ 2.03 <sup>m</sup>	1.75–10.53
5th year	5.85 $\pm$ 2.75 <sup>a</sup>	3.91–10.88	5.71 $\pm$ 3.25 <sup>efgx</sup>	1.60–10.88	6.03 $\pm$ 1.73 <sup>hx</sup>	3.91–9.40



(Campana 1999). The Sr : Ca, Ba : Ca, P : Ca and Mn : Ca ratios in otoliths varied significantly along the transects. The Sr : Ca ratio was selected as the best candidate for inferring the migration through habitats with different salinities in this study, as found in previous studies (Gillanders 2005b; Correia *et al.* 2014), first because the Sr concentration showed a high positive correlation with ambient water salinity (Lin *et al.* 2007; Hicks *et al.* 2010) and second because the Sr : Ca ratio is not strongly affected by ontogeny, metamorphosis, growth or diet (Elsdon and Gillanders 2005; Ruttenberg *et al.* 2005; Lin *et al.* 2007). However, Sr incorporation in the otoliths is sometimes regulated in some species (Panfili *et al.* 2015), which may limit its usefulness for inferring environmental Sr concentration. The variations in the Sr : Ca ratio with different peaks in the otolith life history profile suggest different ambient water salinities during the fish's lifetime. Campana (1999) and Hogan *et al.* (2007) reported that the Sr : Ca ratio in otoliths formed in marine saltwater ranges from  $4.0$  to  $4.8 \times 10^{-3}$ , much higher than in fresh water, and so the high maximum Sr : Ca ratio in the present study (more than  $10 \times 10^{-3}$ ) indicated a marine stage during the fish's lifetime. The low Sr : Ca ratio at the beginning of life ( $2.16 \pm 0.26 \times 10^{-3}$ ) suggested that *P. krempfi* hatches in fresh water, migrates from the spawning grounds to higher salinity habitats after hatching and then lives in brackish or marine water for most of its life, but neither the spawning grounds nor the element concentrations in the original waters were known in the present study.

Hogan *et al.* (2007) reported that *P. krempfi* otoliths collected in Laos had peak Sr : Ca ratios of at least  $7.68 \times 10^{-3}$  (maximum  $26.14 \times 10^{-3}$ ), near the maximum values of the present study, but it should be noted that the analytical methods differed between the studies (X-ray wavelength dispersive electron microscopy *v.* laser ICP-MS). This could indicate that *P. krempfi* caught in the Mekong in Laos had lived in comparable salinity levels and could imply a common population or could suggest a common movement pattern among spatially segregated contingents (e.g. anadromy). The different analytical techniques could explain the differences in the range of Sr : Ca ratios along the transect between the present study (from  $1.60 \times 10^{-3}$  to  $16.65 \times 10^{-3}$ ) and that of Hogan *et al.* (2007; from  $0.00 \times 10^{-3}$  to  $26.14 \times 10^{-3}$ ). Alternatively, there could be different contingents present in Laos, described by Hogan *et al.* (2007), and in the Mekong Delta, as in the present study, with different migration patterns (Poulsen *et al.* 2004). It is also possible that the migration patterns changed between 1999 and 2017 owing to changes in environmental conditions along the Mekong River, especially with the development of hydropower dams along the river. None of these hypotheses can be excluded given our current state of knowledge, and a population genetic analysis should be conducted to identify the number of separate populations along the Lower Mekong basin. Nevertheless, the very low level of Sr : Ba ratios at the beginning of the fish lifetime, with a very small dispersion around the mean, could indicate a single population that breeds in a single habitat after individual anadromous migrations.

Although the Ba concentration in the otolith probably depends on both the salinity and temperature of the ambient water (Gillanders and Munro 2012; Webb *et al.* 2012), its concentration has been widely used as a better indicator of fish

migration patterns than other elements. Although some authors have reported that the otolith Ba : Ca ratio varied linearly with Ba : Ca in the water (Wells *et al.* 2003), in the present study there was no clear relationship between Ba concentration in the water and salinity. This makes it difficult to relate otolith Ba : Ca ratios to salinity changes and movements between different habitats. The most interesting result concerning the Ba : Ca ratio is the uniform pattern for all individuals in the Mekong Delta, with a significant peak at the beginning of the lifetime and a clear decrease to a stable low value. Peaks in the otolith Ba : Ca ratio may be due to flood events diluting the saltwater with fresh water or to transient movements into freshwater environments (Alibert *et al.* 2003; Gillanders 2005a). Ba concentrations are higher in the core region of the otolith in some species (Ruttenberg *et al.* 2005). Given that the concentration of Ba in the water is not related to salinity in the Mekong Delta, Ba : Ca is higher at the core for reasons other than salinity and could be attributed to an ontogenetic process or metamorphosis (Hicks *et al.* 2010), or because of a strong accumulation of elements in the core zone (Ruttenberg *et al.* 2005). Together with constantly low levels for the rest of the lifetime, this indicates that Ba : Ca profiles are not useful for inferring migration through different salinities in the Mekong Delta.

The Mn : Ca ratio in fish otoliths is not affected by salinity (Elsdon and Gillanders 2002; Dorval *et al.* 2007), but varies with geographical range, and may be influenced by temperature (Elsdon and Gillanders 2002), and more especially by ontogeny, which can cause higher Mn concentrations at the core region (Wells *et al.* 2003; Ruttenberg *et al.* 2005; Correia *et al.* 2014; Javor and Dorval 2016). The Mg : Ca ratio has also been reported as being dependent on salinity (Gillanders and Munro 2009; Sarimin and Mohamed 2014), ontogeny, sex or growth rate (Sturrock *et al.* 2014), as has the P : Ca ratio (Javor and Dorval 2016). Although the changes in these ratios could indicate changes in the habitat of *P. krempfi* in the early life stages, previous studies have found that Mn : Ca, Mg : Ca and P : Ca ratios are not very useful for reconstructing fish habitats because of possible effects of many factors other than the environment. The present study showed that the concentration of Mn in the water was not strongly correlated with salinity and that the Mn : Ca ratio in the otoliths of *P. krempfi* showed strong variations only at the beginning and end of the lifetime. Therefore, the profiles for elements other than Sr were not used in this study as indicators for studying the migration patterns of *P. krempfi* between different ambient water salinities.

#### *Movements of P. krempfi in the Mekong River basin*

Sr was the only element used in this study for inferring *P. krempfi* migration patterns assuming that the otolith Sr : Ca profiles reflected changes in ambient salinity. These indicated that *P. krempfi* moved from lower-salinity water after hatching to higher-salinity water during its life in the Mekong Delta. Combining the Sr : Ca profiles in the otoliths and age estimation (see Fig. S1), a general pattern of *P. krempfi* movements emerged for each year. All individuals seemed to hatch at the same low salinity level, but it is not known whether the young come from a single or from multiple spawning grounds, or even from a single region. In the first year, all individuals showed the same migratory behaviour, moving to higher-salinity

environments and reaching brackish water while growing rapidly. During the second year, the fish seemed to move between different habitats with different salinities and continued to grow fast. At this time, there were two different contingents of fish. One part of the population moved between lower-salinity habitats, possibly between fresh water and brackish water, whereas the other moved between higher-salinity habitats, which could be brackish water and marine waters. This could be due to the wide range of habitat available, especially in terms of food availability, or to an adaptation to different environments that can reduce the competition for the food. Growth was slower in the third year, whereas changes in ambient salinity were more frequent than in the first 2 years. Some 3-year-old individuals, longer than 67 cm TL, moved back to lower-salinity environments, perhaps to where they hatched, after growing in brackish water and then returned to higher-salinity water. However, a few individuals had peaks in the otolith Sr:Ca ratio between the second and fourth years, which could indicate movements to more saline habitats or that their habitats were affected by saline intrusions. These results support the hypothesis that *P. krempfi* captured in the Mekong Delta are anadromous, hatching in fresh water and moving to higher-salinity waters quickly to grow (<1 year), living in more saline habitats for most of their lifetime and probably returning to the area where they hatched for spawning (Hogan *et al.* 2007). However, the present study could not find any evidence to support the hypothesis that *P. krempfi* return to their hatching location for spawning.

Knowledge of migration patterns from early life to adult plays an important role in identifying nursery habitats for fish and conservation (Beck *et al.* 2001). A study of *P. krempfi* in Khone Falls (Laos) suggested that this species migrates up to 720 km from the Lower Mekong to the middle river near Khone Falls for spawning during the rainy season (Hogan *et al.* 2007) and that this migration is closely associated with the first seasonal increase in river flow (Baran *et al.* 2005). The spawning site may be Khone Falls, where there are rapids and deep pools (Poulsen *et al.* 2002, 2004; Gupta 2012). During the dry season, this species shelters in deep pools in the Lower Mekong basin, especially in Vam Nao deep pools in An Giang Province, Vietnam (Vu *et al.* 2009), or in the estuarine waters, and is then an important target for fisheries in these areas. Although many studies have reported that *P. krempfi* was only present in the Mekong Delta during the dry season from December to April, with no fish available in local markets during the rainy season (Baird *et al.* 2004; Vu *et al.* 2009), we found that there were many *P. krempfi* for sale in the local markets in Ben Tre province during the rainy season, from April to September. These fish may come from non-migratory populations or may have been migrating when caught.

In the Mekong River, between 40 and 70% of the fish species are believed to migrate between different habitats (Hogan 2011b). Therefore, migratory fishes are an important resource for the livelihoods of fishermen along the Mekong River in Laos, Cambodia and Vietnam. However, the migrations of Mekong fish, including *P. krempfi*, are threatened by habitat degradation, pollution, overexploitation, changes in hydrological triggers and, in particular, the increasing number of hydro-power dams along the river (Baran *et al.* 2005; Strayer and Dudgeon 2010; Ferguson *et al.* 2011). Dams, which cause

changes in habitat and hydrological flow, can reduce populations of migratory fish by blocking the migration pathway, increasing the mortality of adults and juveniles migrating upstream for breeding or downstream after spawning, affecting spawning habitats downstream by altering river flow patterns and reducing connectivity, all of which reduce the effective population size and genetic diversity (Ferguson *et al.* 2011; Junge *et al.* 2014). Dams have already had, and will continue to have, a tremendous effect on fish populations and fisheries (Stone 2016). Climate change, causing more floods upstream or saline intrusions downstream, could change the distribution of *P. krempfi* by changing their spawning grounds or feeding areas (Reist *et al.* 2006). To conserve migratory fish, protection of the hatching habitat, nursery environment and the environment along the migration route becomes important. It is therefore difficult to find a balance between economic development and fish conservation. Furthermore, the management and conservation of migrating fish such as *P. krempfi* require close cooperation between nations in managing the fisheries and ensuring the continuity of the migrations.

This study was limited by sampling only at the beginning of the rainy season, and only near the regions affected by human activities, but also by not sampling fish and water or salinity at the same time. Rainfall and river flow can bring matter from upstream or from land to the sampling sites, and waste water from human activities is discharged into the river near the sampling sites. Both these factors make it more difficult to interpret the element concentrations in the water. Although the present study provides powerful evidence that *P. krempfi* moves from fresh water to brackish water in the Mekong Delta, the life history of this species in the whole Mekong basin is still incomplete. Although Rainboth (1996) suggested that there may be two separate species of *P. krempfi* in the Upper and Lower Mekong, Poulsen *et al.* (2004) suggested that there may be two different migration patterns: one population spawning in the Upper Mekong and migrating to the Lower Mekong, spending most of its life in the Mekong Delta or at sea, and one population that has the same spawning and migration pattern but does not reach marine habitats. The number of populations in the upper river and their migration patterns remain unknown. Further research into the populations in the middle and Upper Mekong should be conducted, using genetic fingerprinting and Sr isotopes in the otoliths and the river water in order to understand the whole life history of *P. krempfi* in the whole of the Mekong River basin.

In conclusion, the Sr concentrations in the water showed a significant linear relationship with salinity in the Mekong Delta, strengthening the possibility of using this element to reflect the environmental conditions throughout a fish's lifetime in the Mekong Delta. Sr was the only element used in this study for inferring *P. krempfi* migration patterns assuming that the otolith Sr:Ca profiles reflected changes in ambient salinity. The Sr:Ca profiles indicated that *P. krempfi* is anadromous, hatching in fresh water with two contingents migrating very quickly through habitats with higher salinities, from fresh water to areas of brackish water in the Lower Mekong. These data agree with those reported by Hogan *et al.* (2007), namely that there are multiple migratory contingents in a single population. This may provide some benefit to the species, probably in terms of

reducing competition for food and habitat, but at the moment this is unknown and needs further investigation. Nevertheless, the identification of two movement types has implications for the management and conservation of the species, especially given that it is classified as 'Vulnerable'. For example, fish populations will become very sensitive (e.g. reduce in population size, the connection between populations) if more dams are constructed along the Mekong River in the near future.

### Conflicts of interest

The authors declare that they have no conflicts of interest.

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