



## Resistance mechanisms and genetic relatedness among carbapenem-resistant *Pseudomonas aeruginosa* isolates from three major hospitals in Hanoi, Vietnam (2011–15)

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**Background:** MDR bacteria including carbapenem resistant *Pseudomonas aeruginosa* are recognized as an important cause of hospital acquired infections worldwide. This investigation seeks to determine the molecular characterization and antibiotic resistance genes associated with carbapenem resistant *P. aeruginosa*.

**Methods:** We conducted WGS and phylogenetic analysis of 72 carbapenem resistant *P. aeruginosa* isolated from hospital acquired infection patients from August 2011 to March 2015 in three major hospitals in Hanoi, Vietnam.

**Results:** We identified three variants of IMP gene, among which *bla*<sub>IMP 15</sub> was the most frequent ( $n = 34$ ) in comparison to *bla*<sub>IMP 26</sub> ( $n = 2$ ) and *bla*<sub>IMP 51</sub> ( $n = 12$ ). We observed two isolates with imipenem MIC >128 mg/L that co harboured *bla*<sub>IMP 15</sub> and *bla*<sub>DIM 1</sub> genes and seven isolates (imipenem MIC > 128 mg/L) with a *bla*<sub>KPC 1</sub> gene from the same hospital. MLST data shows that these 72 isolates belong to 18 STs and phylogenetic tree analysis has divided these isolates into nine groups.

**Conclusions:** Our results provide evidence that not only *bla*<sub>IMP 26</sub> but other IMP variants such as *bla*<sub>IMP 15</sub> and *bla*<sub>IMP 51</sub> genes and several STs (ST235, ST244, ST277, ST310, ST773 and ST3151) have been disseminating in healthcare settings in Vietnam. In addition, we report the emergence of two isolates belonging to ST1240 and ST3340 that harboured two important carbapenemase genes (*bla*<sub>IMP 15</sub> and *bla*<sub>DIM 1</sub>) and seven isolates belonging to ST3151 of *P. aeruginosa* that carried the *bla*<sub>KPC 1</sub> gene in Vietnam, which could potentially cause serious restricted availability of treatment options in healthcare settings.

## Introduction

Antibiotic resistance has taken centre stage as a global health issue that demands public attention. Concerns have been raised due to the rapid emergence and spread of carbapenem resistant Gram negative bacteria resistant to the antibiotic group used as a ‘last resort’ in hospital treatments. In addition, bacteria have been found to be resistant to colistin, which is recommended to be used as salvage treatment for infections caused by

carbapenem resistant bacteria.<sup>1–3</sup> With the emergence of resistance to these drugs, there might be no effective antibiotic treatment for these bacteria in the next 5–10 years.

MDR *Pseudomonas aeruginosa* is recognized as an important cause of hospital acquired infections and is listed among the WHO priority pathogens for research and development of new antibiotics.<sup>4,5</sup> This bacterium is highly adaptable to environmental fluctuations, including low level antibiotic exposure, and many antibiotic

resistance mechanisms such as reduced membrane permeability, drug efflux pumps and enzymatic inactivation have been found. The spread of antibiotic resistance genes through mobile genetic factors greatly contributes to the formation of antibiotic resistant *P. aeruginosa*,<sup>6,7</sup> which is well known to have simultaneous multiple resistance mechanisms thus limiting treatment choices.<sup>8–10</sup> Epidemiological classification of *P. aeruginosa* using PFGE has been used as the gold standard for molecular epidemiology to characterize and identify the risk of transmission and spread of *P. aeruginosa* outbreaks in hospitals.<sup>11</sup> However, this technique has limited discriminatory capacity, high cost and complex workflow, and does not provide detailed information on the evolutionary background of *P. aeruginosa*. Currently, next generation sequencing usage is becoming broader as it provides data not only on the genetic relatedness at a higher resolution but also on resistance associated genes and their relatedness and thus more insights into antimicrobial resistant bacteria. With this technique, the relatedness and transmission of hospital isolates can be assessed and used to guide infection control interventions locally. Moreover, sequence and evolutionary analyses contribute to enhance the global picture, the temporal and spatial evolution of antibiotic resistance genes and associated bacteria.<sup>12,13</sup>

Southeast Asia is considered to be a ‘hot spot’ of antibiotic resistant bacteria and *P. aeruginosa* has also been identified as a common cause of hospital acquired infections in Vietnam.<sup>14,15</sup> According to statistics from the Center for Disease Dynamics, Economics & Policy (CDDEP) in 2016, 36% of *P. aeruginosa* isolates in Vietnam were resistant to carbapenems, ranking second only after India.<sup>14</sup> Nevertheless, little is known regarding the *P. aeruginosa* genotypes and antibiotic resistance gene types circulating in Vietnam. This information is important since the STs of *P. aeruginosa* associated with antibiotic resistance genes differ markedly among communities, hospitals and countries.<sup>16–19</sup> A study in one hospital in Hanoi, Vietnam reported a carbapenemase ST235 *P. aeruginosa* carrying *bla*<sub>IMP</sub> 15, *bla*<sub>IMP</sub> 26, and *bla*<sub>IMP</sub> 51 genes.<sup>16</sup> The *P. aeruginosa* ST235 isolates were identified as playing an important role in relation to hospital acquired infections. To further our knowledge and provide a better understanding of the circulation of these highly drug resistant pathogens, we conducted surveillance of carbapenem resistant *P. aeruginosa* in three major hospitals in Hanoi between August 2011 and March 2015. Here we present phenotypic and genotypic data on IMP positive isolates from this collection from Vietnam, a middle income country with a high and increasing burden of antimicrobial resistance (AMR) and hospital acquired infection and compare these with local, regional and global data to add the current knowledge of carbapenem resistant *P. aeruginosa*.

## Methods

### Hospital settings and isolates

Isolates were collected from three hospitals (Saint Paul, Thanh Nhan and Viet Duc) with high capacity, located in the centre of Hanoi, the capital city of Vietnam. Saint Paul and Thanh Nhan hospitals are reference healthcare settings with over 600 bed capacity each and include many specialities such as surgery, paediatrics and ICU. Viet Duc Hospital is the largest surgical centre in Vietnam with over 1500 beds and performs different types of surgery, such as abdominal, gastroenterology and hepatobiliary, paediatric and urology. Demographic and basic clinical information of patients whose

specimens were carbapenem-resistant *P. aeruginosa* positive were collected from clinical notes and included age, gender, date of admission, clinical diagnosis, the origin of the collected sample, isolated bacterial strains and date of sample collection. Treatment and clinical outcome data were not available for this study.

*P. aeruginosa* isolated from clinical specimens were tested for antibiotic susceptibility using disc diffusion testing according to international guidelines.<sup>20</sup> The microbiology consultants of the hospitals were requested to collect and send all bacterial isolates resistant at least to one of the antibiotics in the carbapenem group to the National Institute of Hygiene and Epidemiology (NIHE) to detect carbapenem resistance genes by PCR. From the collection of carbapenem-resistant *P. aeruginosa* isolates ( $n = 416$ ) sent between August 2011 and March 2015, we screened by PCR for the presence of five common carbapenemase genes (*bla*<sub>IMP</sub>, *bla*<sub>VIM</sub>, *bla*<sub>SIM</sub>, *bla*<sub>SPM</sub> and *bla*<sub>NDM-1</sub>).<sup>2,21</sup> The PCR results were then reported to the hospitals. Based on the PCR screening results, we conducted WGS analysis of some carbapenem-resistant isolates for further characterization. Seventy-two non-repetitive isolates were selected for this study, including all the isolates positive for *bla*<sub>IMP</sub> genes ( $n = 48$ ; 18 isolates from Saint Paul, 11 from Thanh Nhan and 19 from Viet Duc), and 24 isolates negative for *bla*<sub>IMP</sub>, *bla*<sub>VIM</sub>, *bla*<sub>SIM</sub>, *bla*<sub>SPM</sub> and *bla*<sub>NDM-1</sub> genes by PCR (isolates were selected from same wards and years as PCR positive isolates: 12 isolates from Saint Paul, 8 from Thanh Nhan and 4 from Viet Duc).

### Bacterial identification and susceptibility testing

The species was confirmed by the MALDI Biotyper system (Bruker Daltonik GmbH, Germany). MIC testing of seven antibiotics commonly used in the treatment of *P. aeruginosa* infections in Vietnam was performed by agar dilution for imipenem, ceftazidime, ciprofloxacin, gentamicin, amikacin and aztreonam (Sigma Aldrich) and by broth microdilution for colistin. The susceptibility testing procedure was conducted according to CLSI guidelines 2018 using *P. aeruginosa* ATCC27853 as a quality control strain.<sup>20</sup>

### WGS of *P. aeruginosa*

To prepare WGS libraries, genomic DNA of 72 *P. aeruginosa* isolates was extracted using QIAamp DNA Mini Kit (Qiagen, Hilden, Germany) according to the manufacturer's protocol. Libraries of *P. aeruginosa* strains were prepared using the Nextera XT DNA Library Prep Kit (Illumina, San Diego, CA, USA). Multiplexed paired-end sequencing was performed using the MiSeq Reagent V3 Kit (2 × 300 cycles) on an Illumina MiSeq instrument.

### Bioinformatics analysis

Whole-genome sequences were analysed using an in-house bioinformatics pipeline, which runs on a Conda environment under Linux. Briefly, we used FastQC Version 0.11.8 for quality control of raw reads. Reads were trimmed of the adaptor sequences and were subsequently *de novo* assembled into contigs using SPAdes (3.9.0) with a pre-defined Kmers set. AMR genes were identified from the assembled contigs using the ABRicate program to query the Resfinder database V2.1.<sup>22</sup>

MLST was conducted from the Shovill-output contigs, screening seven housekeeping genes against the PubMLST database. Alleles were submitted to the PubMLST database to get the ST. A phylogenetic tree based on the core genome SNPs was constructed from WGS data of the 72 *P. aeruginosa* isolates using Parsnp 1.2 and IQ-TREE 1.5.<sup>22,23</sup>

### Ethics approval and consent to participate

Ethics approval was obtained from the Ethics Committee of the Vietnamese National Institute of Hygiene and Epidemiology for the main project ‘Assessing the impact and burden of antimicrobial resistance in Vietnam, genomic characterization and risk factors related to antimicrobial resistance of common bacteria in Vietnam’. Individual informed consent

was waived because of the retrospective nature of this work and because no patient identifying information was collected (IRB code: IRB-VN01057-38/2016).

### Availability of data and materials

All data generated or analysed during this study are included in this article (and its [supplementary data](#) files).

## Results

### Characterization of *P. aeruginosa*

Four hundred and sixteen carbapenem resistant *P. aeruginosa* isolates were collected from three hospitals between August 2011 and March 2015. A total of 48 IMP gene PCR positive and 24 PCR negative isolates from the same wards and years were further characterized using WGS.

The median age of these 72 patients with *P. aeruginosa* infections was 35 years (range: 1 to 85 years) and the ratio of male to female was 2.6. Forty five isolates (45/72; 62.5%) were isolated from pneumonia patients including 29 ventilator associated pneumonia (VAP) patients. These isolates were detected from 11 different departments, with a majority from the ICU (44.4%,  $n = 32$ ), paediatrics (15.2%,  $n = 11$ ) and surgery (13.9%,  $n = 10$ ) (Figure 1). The *P. aeruginosa* isolates were cultured from eight types of sample, including bronchial fluid (44.4%,  $n = 32$ ), sputum (18%,  $n = 13$ ) and surgical site fluid (13.9%,  $n = 10$ ) (Figure 1).

### Profile of antibiotic resistance genes

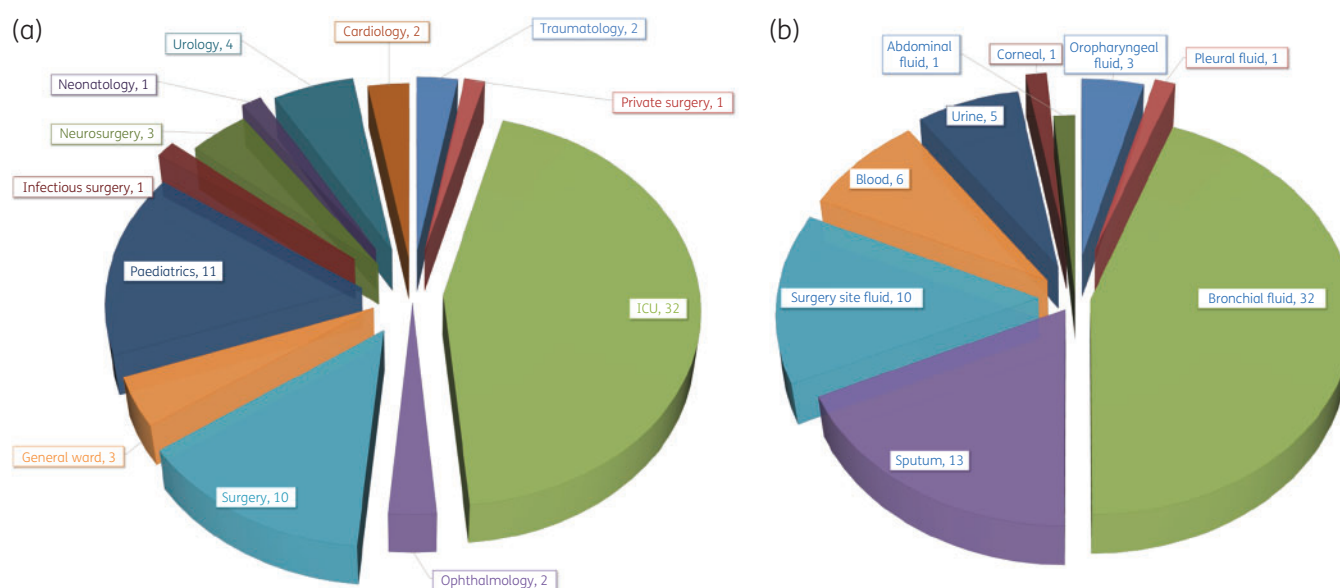
*P. aeruginosa* clinical isolates displayed different combinations of drug resistance genes such as efflux pump and outer membrane (*mexAB oprM*, *mexEF oprN*, *oprJ*, *opmB*, *opmH*) genes (Figure 2) leading to multidrug resistance. All the 72 *P. aeruginosa* isolates under study carried *bla<sub>OXA 50</sub>*, *fosA* (fosfomycin resistance) and different variants of *Pseudomonas* derived cephalosporinase (PDC)  $\beta$  lactamase class C genes, predominantly *bla<sub>PDC 2</sub>* (18/72, 25%)

and *bla<sub>PDC 7</sub>* (18/72, 25%), followed by *bla<sub>PDC 3</sub>* (17/72, 24%), *bla<sub>PDC 5</sub>* (15/72, 21%), *bla<sub>PDC 1</sub>* (2/72, 3%) and *bla<sub>PDC 8</sub>* (2/72, 3%). Other genes encoding antibiotic resistance were found in this study including genes for CARB 3  $\beta$  lactamase (*CARB 3*, 43/72, 60%), acquired fluoroquinolone resistance (*qnrVC1*, 37/72, 51%) and Vietnamese extended spectrum  $\beta$  lactamase (*bla<sub>VEB 1</sub>*, 3/72, 4%). Among the 48 IMP gene PCR positive isolates, *bla<sub>IMP 15</sub>* was the most frequently detected (34/48, 71%), followed by *bla<sub>IMP 51</sub>* (12/48, 25%) and *bla<sub>IMP 26</sub>* (2/48, 4%) (Table 1, Table S1 and Figure S1, available as [Supplementary data](#) at JAC AMR Online).

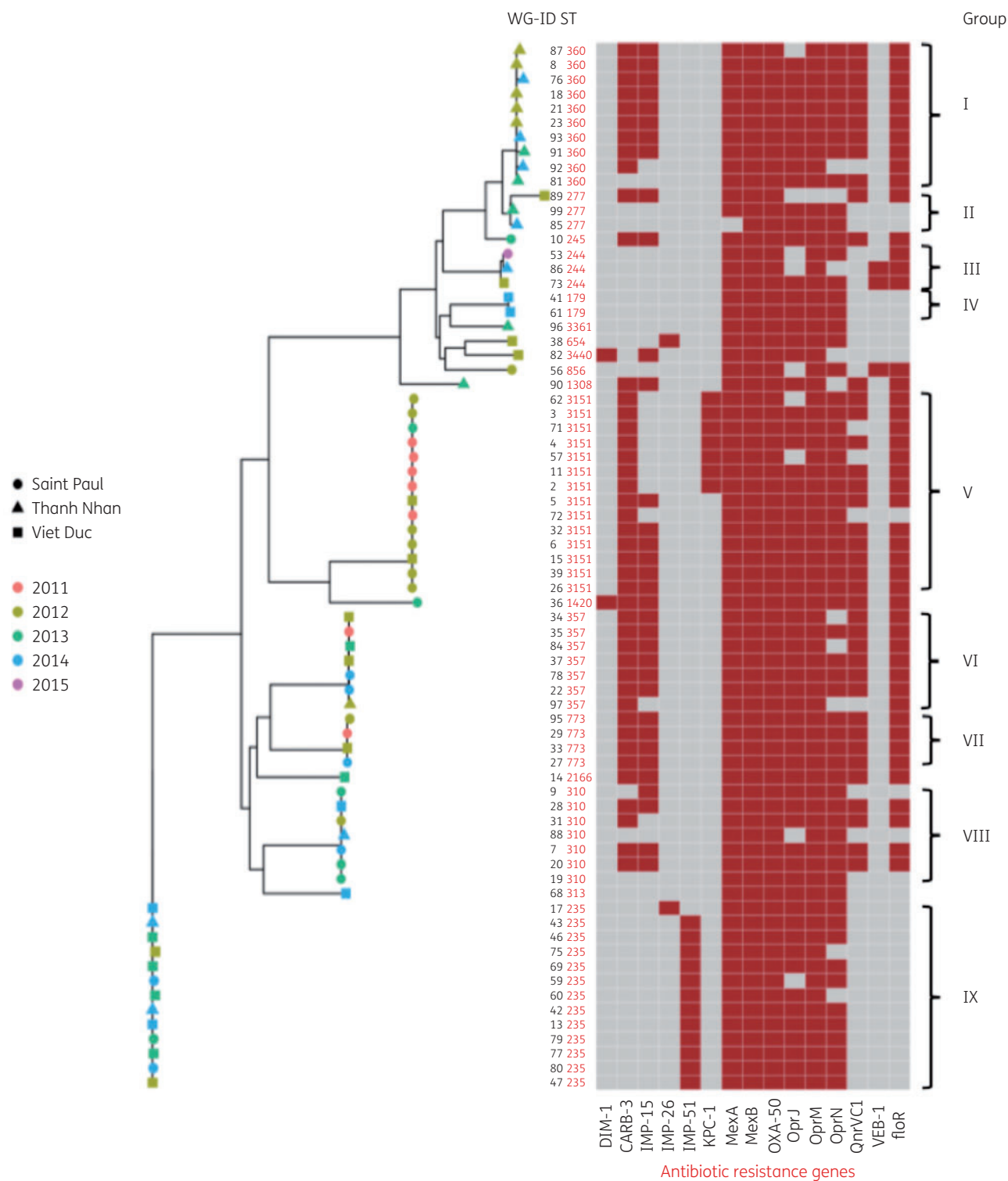
Notably, we detected the presence of the *bla<sub>DIM 1</sub>* gene (Dutch imipenemase 1) encoding carbapenemase, to our knowledge for the first time in Vietnam, in two *P. aeruginosa* isolates. The first *bla<sub>DIM 1</sub>* carrying *P. aeruginosa* isolate was from the urine of a posterior urethral stenosis patient in the private surgery department of Viet Duc hospital in mid July 2012. The second *bla<sub>DIM 1</sub>* harbouring isolate was from an ICU pneumonia patient in Saint Paul hospital in early 2013. Noticeably, both isolates with *bla<sub>DIM 1</sub>* genes also carried the *bla<sub>IMP 15</sub>* gene. Patients with *bla<sub>DIM 1</sub>* positive isolates were treated in two different hospitals, and these isolates belonged to ST1420 and ST3440 (Figure 2). We detected seven *bla<sub>KPC 1</sub>* positive *P. aeruginosa* isolates belonging to ST3151, all in Saint Paul hospital between 2011 and 2013 in the paediatrics ( $n = 3$ ), ICU ( $n = 3$ ) and ophthalmology ( $n = 1$ ) departments. The first *bla<sub>KPC 1</sub>* positive isolate was in October 2011 from the bronchial fluid of a VAP patient. The second and third isolates were from the blood of a septic patient and the corneal sample of a conjunctivitis patient in November 2011 and the fourth was from a pneumonia patient in December 2011. Two *bla<sub>KPC 1</sub>* positive strains were isolated in May 2012 from the bronchial fluid of pneumonia patients and the last case in January 2013.

### Antimicrobial susceptibility of *P. aeruginosa*

The results of the imipenem MIC tests showed that the isolates without *bla<sub>IMP 15</sub>*, *bla<sub>IMP 26</sub>*, *bla<sub>IMP 51</sub>*, *bla<sub>DIM 1</sub>* or *bla<sub>KPC 1</sub>* genes had



**Figure 1.** Distribution by department (a) and source and type of *P. aeruginosa* isolates (b) in three hospitals of Hanoi ( $n = 72$ ).



**Figure 2.** Core genome phylogenetic tree of the 72 *P. aeruginosa* isolates of the three hospitals associated with STs and antibiotic resistance genes. The shapes represent the different hospitals, colours indicate the collection year of the isolate and red squares indicate the presence of AMR genes in isolates.

the lowest MICs (8–16 mg/L). Isolates carrying only the *bla*<sub>IMP 51</sub> gene had an MIC of 16–32 mg/L, and isolates carrying only the *bla*<sub>IMP 15</sub> gene had MICs of 32–64 mg/L. Noticeably, isolates with

both *bla*<sub>IMP 15</sub> and *bla*<sub>DIM 1</sub> genes were extremely resistant to imi penem, with MICs >128 mg/L. Similarly, isolates carrying the *bla*<sub>KPC 1</sub> gene had MICs >128 mg/L. We also observed that isolates



**Table 1.** Distribution of antibiotic resistance genes of *P. aeruginosa* ( $n = 72$ )

| Carbapenem genes                          | Important AMR genes |             |  |               |             |               |             |
|---|---------------------|-------------|--|---------------|-------------|---------------|-------------|
|   | DIM-1               | OXA-50      | PDC (PDC- $\beta$ -lactamase class C)  | CARB-3        | VEB-1       | QnrVC1        | FosA        |
| IMP ( $n = 48$ )                          |                     |             |  |               |             |               |             |
| <i>bla</i> <sub>IMP-15</sub> ( $n = 34$ ) | 2/34                | 34/34       | <i>bla</i> <sub>PDC-2</sub> ( $n = 4$ ); <i>bla</i> <sub>PDC-3</sub> ( $n = 10$ ); <i>bla</i> <sub>PDC-5</sub> ( $n = 11$ ); <i>bla</i> <sub>PDC-7</sub> ( $n = 9$ )   | 32/34         |             | 31/34         | 30/34       |
| <i>bla</i> <sub>IMP-26</sub> ( $n = 2$ )  |                     | 2/2         | <i>bla</i> <sub>PDC-2</sub> ; <i>bla</i> <sub>PDC-3</sub>  |               |             | 1/2           | 2/2         |
| <i>bla</i> <sub>IMP-51</sub> ( $n = 12$ ) |                     | 12/12       | <i>bla</i> <sub>PDC-2</sub> ( $n = 12$ )   |               |             |               | 12/12       |
| <i>bla</i> <sub>KPC-1</sub> ( $n = 7$ )   |                     | 7/7         | <i>bla</i> <sub>PDC-7</sub> ( $n = 7$ )  | 7/7           |             | 5/7           | 7/7         |
| IMP, KPC-negative isolates ( $n = 17$ )   |                     | 17/17       | <i>bla</i> <sub>PDC-1</sub> ( $n = 2$ ); <i>bla</i> <sub>PDC-2</sub> ( $n = 1$ ); <i>bla</i> <sub>PDC-3</sub> ( $n = 6$ ); <i>bla</i> <sub>PDC-5</sub> ( $n = 4$ ); <i>bla</i> <sub>PDC-7</sub> ( $n = 2$ ); <i>bla</i> <sub>PDC-8</sub> ( $n = 2$ ) | 4/17          | 3/17        |               | 17/17       |
| Total, $n/N$ (%)                          | 2/72 (2.77)         | 72/72 (100) | 72/72 (100)  | 43/72 (59.72) | 3/72 (4.16) | 37/72 (51.38) | 72/72 (100) |

**Table 2.** Antimicrobial susceptibility by MIC of *P. aeruginosa* ( $n = 72$ )

| <i>P. aeruginosa</i> strains ( $n = 72$ )                              | Susceptibility (MIC, mg/L) |   |                                       |                                       |                                     |  |  |
|--|----------------------------|---|---------------------------------------|---------------------------------------|-------------------------------------|--|--|
|  | IPM                        | CIP                                     | CAZ                                   | AMK                                   | GEN                                 | ATM  | CST  |
| <i>bla</i> <sub>IMP-15</sub> ( $n = 32$ )                              | R (32 64)                  | R (4 16)                                | R (64 256)                            | S (8), $n = 1$ ; R (>256), $n = 31$   | R (>128)                            | S (2 8), $n = 18$ ; I (16), $n = 13$ ; R (32), $n = 1$ | S (0.25 2), $n = 28$ ; R (4 16), $n = 4$ ; |
| <i>bla</i> <sub>IMP-15</sub> + <i>bla</i> <sub>DIM-1</sub> ( $n = 2$ ) | R (>128)                   | R (16)                                  | R (>256)                              | S (16)                                | R (>128)                            | R (128)  | S (0.25 2), $n = 2$                        |
| <i>bla</i> <sub>IMP-26</sub> ( $n = 2$ )                               | R (>128)                   | R (32)                                  | R (>256)                              | R (>256)                              | R (>128)                            | R (32)   | S (0.5), $n = 1$ ; R (4), $n = 1$          |
| <i>bla</i> <sub>IMP-51</sub> ( $n = 12$ )                              | R (16 32)                  | R (8 16)                                | R (256)                               | S (8 16), $n = 6$ ; R (64)            | R (32 64)                           | I (1), $n = 2$ ; R (32 128), $n = 5$                   | S (0.25 2), $n = 11$ ; R (4), $n = 1$      |
| KPC-1 ( $n = 7$ )  | R (>128)                   | R (8)                                   | R (32)                                | R (>256)                              | R (>128)                            | R (>128)   | S (0.125 2), $n = 6$ ; R (4), $n = 1$      |
| IMP, DIM KPC-negative ( $n = 17$ )                                     | R (8 16), $n = 17$         | S (0.125 1), $n = 16$ ; R (64), $n = 1$ | S (4), $n = 10$ ; R (32 128), $n = 7$ | S (2 4), $n = 16$ ; S (>256), $n = 1$ | S (1 2), $n = 16$ ; R (32), $n = 1$ | S (8), $n = 12$ ; I (16), $n = 3$ ; R (>128), $n = 2$  | S (0.25 2), $n = 15$ ; R (4), $n = 1$      |

IPM, imipenem; CIP, ciprofloxacin; CAZ, ceftazidime; AMK, amikacin; GEN, gentamicin; ATM, aztreonam; CST, colistin; S, susceptible; I, intermediate; R, resistant.

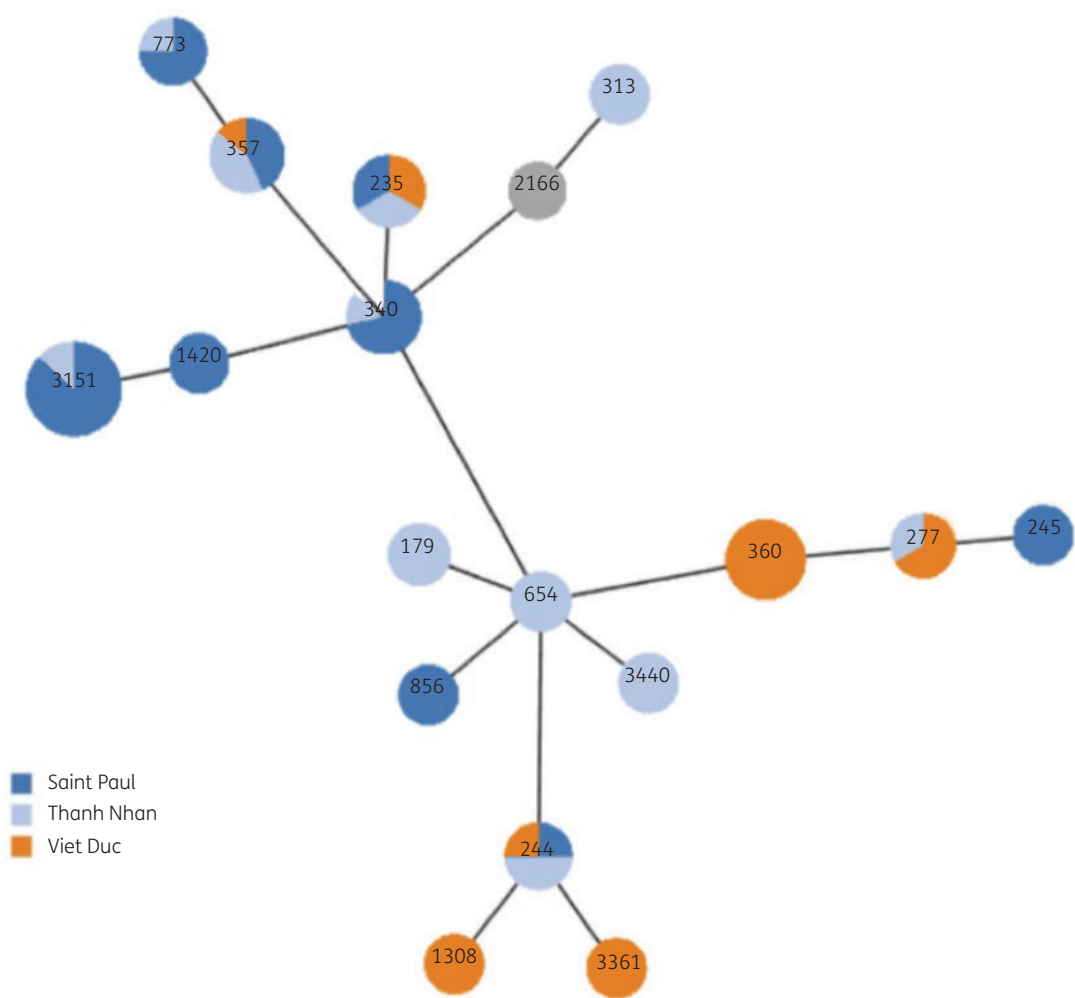
co carrying *bla*<sub>IMP 15</sub> and *bla*<sub>DIM 1</sub> or carrying only one gene among *bla*<sub>IMP 26</sub>, *bla*<sub>IMP 51</sub> and *bla*<sub>KPC 1</sub> were resistant to five other antibiotics tested (ciprofloxacin, ceftazidime, gentamicin, amikacin and aztreonam) (Table 2). However, most of the isolates carrying the *bla*<sub>IMP 15</sub> gene (18/32) were still susceptible to aztreonam, and isolates carrying both *bla*<sub>IMP 26</sub> and *bla*<sub>DIM 1</sub> genes were amikacin susceptible. Among 17 isolates not harbouring acquired carbapenemase genes (*bla*<sub>IMP</sub>, *bla*<sub>DIM 1</sub> and *bla*<sub>KPC 1</sub>), 16 isolates remained susceptible to ciprofloxacin (0.125–1 mg/L); amikacin (2–4 mg/L) and gentamicin (1–2 mg/L), 12 to aztreonam (8 mg/L) and 10 to ceftazidime (4 mg/L). Finally, we observed 8 of 72 isolates resistant to colistin with MICs ranging from 4 to 16 mg/L. (Table 2, Table S1).

### Genotypic relationship of *P. aeruginosa* isolates

The phylogenetic tree placed the 72 *P. aeruginosa* isolates in nine genotype groups (Figure 3). Each group had different

characteristics of antibiotic resistance genes and STs. Interestingly, group I included 10 potentially clonal Thanh Nhan hospital isolates from 2012–14 belonging to ST360, with 8/10 isolates harbouring *bla*<sub>IMP 15</sub>, and most of the isolates carried many different resistance encoding genes: *bla*<sub>OXA 50</sub>, *CARB 3*, *bla*<sub>PDC</sub> (PDC  $\beta$  lactamase class C) and a gene encoding quinolone resistance (*qnrVC1*). Group V isolates belonged to ST3151 and harboured one or a combination of two carbapenemase genes including *bla*<sub>IMP 15</sub>, *bla*<sub>KPC 1</sub>, *bla*<sub>OXA 50</sub>, *qnrVC1*, *CARB 3* and *bla*<sub>PDC</sub> genes. Group IX was ST235, these strains carried *bla*<sub>IMP 51</sub> and *bla*<sub>OXA 50</sub> genes and were found in all three hospitals between 2012 and 2014 (Table S1, Figure 2).

MLST of *P. aeruginosa* isolates showed that the 72 isolates were classified into 18 STs, among which ST3151 was most often detected ( $n = 14$ ) followed by ST235 ( $n = 13$ ), ST360 ( $n = 10$ ), ST310 ( $n = 7$ ) and ST357 ( $n = 7$ ). The remaining 13 singletons



**Figure 3.** Spanning tree of *P. aeruginosa* using MLST data reported in this study. Each circle and number represents one ST; the colour indicates the hospital.

included ST179, ST244, ST245, ST277, ST313, ST654, ST773, ST856, ST1308, ST1420, ST2166, ST3440 and ST3361. Interestingly, all ST360 isolates (10/10) were detected from patients in Thanh Nhan Hospital. ST3151 and ST310 were found significantly more often in Saint Paul Hospital compared with Viet Duc Hospital (13/15 versus 2/15 and 5/7 versus 1/7, respectively) (Figures 2 and 3).

Discussion

In this study, we collected phenotypically carbapenem resistant isolates of *P. aeruginosa* from three large hospitals in Hanoi, Vietnam between 2011 and 2015. Out of these 416 isolates, we further characterized 48 isolates PCR positive for *bla*<sub>IMP</sub> genes and 24 PCR negative for *bla*<sub>IMP</sub> and *bla*<sub>VIM</sub>, *bla*<sub>SIM</sub>, *bla*<sub>SPM</sub> and *bla*<sub>NDM</sub> 1 genes. The majority of the 72 carbapenem resistant *P. aeruginosa* infection cases in this study were from nosocomial infections, mostly healthcare associated pneumonia (*n* = 45 including 29 VAP) and 10 surgical site infections. Our observations suggest that potential dissemination of carbapenem resistant *P. aeruginosa*

may occur at different departments in these hospitals, either by transferring between patients or through healthcare workers.

Antimicrobial resistance among key pathogens in Vietnam is high and increasing, especially among *Escherichia coli* and ESKAPE organisms (*Enterococcus faecium*, *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Acinetobacter baumannii*, *P. aeruginosa* and *Enterobacter* spp.), especially those associated with nosocomial infection. Data from a nationwide hospital surveillance network show high and increasing resistance among *P. aeruginosa* with proportions reported to be resistant against carbapenems of 32% in 2012–13 and 44% in 2016–17.<sup>24</sup> The results of our study should be interpreted against that background of high and increasing levels of resistance among pathogens associated with nosocomial infection, including *P. aeruginosa*.

Our study found that *P. aeruginosa* carried different combinations of antibiotic resistance genes leading to a broad spectrum of resistance to various antibiotics. The 48 *P. aeruginosa* isolates with *bla*<sub>IMP</sub> genes revealed three different IMP variants (*bla*<sub>IMP 15</sub>, *bla*<sub>IMP 51</sub> and *bla*<sub>IMP 26</sub>) that have been reported from other countries including Mexico, Korea and Singapore.<sup>17,18,25</sup> The dominance

of these three genes was also similar to a study conducted simultaneously in the largest hospital in Hanoi, Vietnam (2013–14).<sup>16</sup> Our results showed that *bla*<sub>IMP 15</sub> and *bla*<sub>IMP 51</sub> were most frequently detected and support the evidence that these variants of *bla*<sub>IMP</sub> genes have been disseminated in Vietnamese healthcare settings.

The *bla*<sub>DIM 1</sub> gene was detected, to our knowledge for the first time in Vietnam, in two *P. aeruginosa* isolates from Saint Paul and Viet Duc hospitals and belonged to different STs: ST1420 and ST3440. Previous studies have shown that the *bla*<sub>DIM 1</sub> gene encodes a group of BMBL enzymes capable of lysis of carbapenem antibiotics that was discovered in the integron class 1 genetic element (*intl1*) of *Pseudomonas stutzeri* in the Netherlands in 2007, in *P. aeruginosa* in India (5%, 2010) and in Sierra Leone (46.7%, 2013).<sup>26,27</sup>

We also found 7 out of 72 *P. aeruginosa* isolates carrying *bla*<sub>KPC 1</sub> belonging to ST3151. Genes for KPC have previously been reported, albeit rarely, in *P. aeruginosa* in China and the USA.<sup>28,29</sup> Additionally, we found a plasmid carrying the *bla*<sub>KPC</sub> gene in Enterobacteriaceae clinical isolates from Saint Paul Hospital in 2010 and from other hospitals in Vietnam, which are currently being characterized, suggesting that the KPC 1 encoding gene of the *P. aeruginosa* isolates in the study might be acquired from *K. pneumoniae* and *E. coli* through conjugation. The combination of IMP genes with either DIM or KPC genes led to very high (>128 mg/L) carbapenem MICs.

The imipenem MIC values for the *bla*<sub>IMP 15</sub>, *bla*<sub>IMP 26</sub>, *bla*<sub>IMP 51</sub>, *bla*<sub>DIM 1</sub> and *bla*<sub>KPC 1</sub> positive *P. aeruginosa* isolates ranged from 16 to >128 mg/L. These MIC values have been documented for carbapenemase producing *P. aeruginosa* in other studies.<sup>26–29</sup> The isolates without *bla*<sub>IMP 15</sub>, *bla*<sub>IMP 26</sub>, *bla*<sub>IMP 51</sub>, *bla*<sub>DIM 1</sub> and *bla*<sub>KPC 1</sub> had MICs of 8–16 mg/L. Previous studies showed that carbapenem resistant *P. aeruginosa* isolates without IMP genes were likely resistant due to either active drug efflux pump mechanisms (MexAB OprM, MexEF OprN, OprJ, OpmB, OpmH) or other classes of carbapenemase such as OXA 50.<sup>6,7</sup>

Colistin is the only effective antibiotic in particular cases for which *P. aeruginosa* is resistant to all tested antibiotics including the carbapenem group. The emergence of colistin resistant strains posed a great threat to patients with severe infections.<sup>30–32</sup> Our study found that eight (11%) of the *P. aeruginosa* isolates were resistant to colistin, compared with 7% (3%–13%) in *P. aeruginosa* isolates collected in 2012–13 in the Vietnam Resistance project (VINARES).<sup>24</sup> Colistin resistance was found in all three hospitals, including three isolates of ST3151 from Saint Paul in 2011 and 2012, two ST244 from Viet Duc and Saint Paul in 2014 and 2015, ST360 and ST654 from Thanh Nhan and Viet Duc hospitals in 2012–14 and ST773 from Saint Paul in 2012. All isolates were negative for *mcr* genes and are indicated in Figure 2 and Table S1. Resistant isolates that shared the same ST also shared very similar resistance gene profiles. This result places Vietnam in the group of countries with a high rate of colistin resistance. Our findings suggest cautious consideration of colistin use in treatment for carbapenem resistant *P. aeruginosa* in clinical practice. Further studies on colistin resistance are needed.

The MLST data showed a high diversity of *P. aeruginosa* isolates. The 72 isolates were grouped into 18 different STs. Most of these STs were reported in previous studies: ST357 was primarily found in Asia and ST235 is recognized as a major international high risk

clone.<sup>33–36</sup> Except for ST3151, all dominant STs (ST235, ST360, ST310, ST357) and four singletons (ST277, ST773, ST1420 and ST2166) have been reported before from Vietnam, carrying the same IMP genes.<sup>16</sup> We also showed clustering of ST by hospital: particularly the fact that ST360 isolates were mainly found in Thanh Nhan Hospital and ST3151 was predominant in Saint Paul Hospital strongly suggested nosocomial transmission. Core genome phylogenetic and STs of the isolates were also in the same group. Some high risk STs were found within a hospital (ST360) or in different hospitals (ST235, ST244, ST277, ST340, ST357) and in different years (Figures 2 and 3). We detected 14 isolates belonging to ST3151, an ST that we could only find one entry of in the MLST database and no mention of in the literature. ST3151 carried different carbapenem genes: *bla*<sub>KPC 1</sub> (*n* = 7), *bla*<sub>IMP 15</sub> (*n* = 1), and one isolate only carried *bla*<sub>OXA 50</sub>, suggesting that the *bla*<sub>KPC 1</sub> positive *P. aeruginosa* strains in the study might have acquired *bla*<sub>KPC 1</sub> from *K. pneumoniae* and *E. coli* through conjugative transfer as previously mentioned. These findings suggest that these STs may have been disseminated in healthcare settings in Vietnam and new drug resistant STs could emerge under selective and antibiotic pressure.

A recent review shows that globally, from our frequently detected STs ST235, ST357 and ST773 continue to be described as international high risk clones.<sup>37</sup> Among IMP genes, *bla*<sub>IMP 15</sub>, *26* and *51* are still dominant in our study as previously described. These three have been previously described as important resistance genes in ST235 but not in ST357 or ST773, potentially reflecting local circulation and conjugation. KPC genes in *Pseudomonas* continue to be described, but rarely. We have no updated information on whether the KPC producing ST3151 has been observed in Vietnam after January 2013.

Our study has some limitations that need to be addressed. Firstly, the sequencing from this work was conducted *post hoc* and the results from this isolate selection from 2011–15, given the diversity over space and time we see, will not be large and recent enough to represent current carbapenem resistant *P. aeruginosa* in healthcare settings in Vietnam. Secondly, we were unable to assess the clinical significance of carbapenem resistant *P. aeruginosa* regarding antibiotic treatment and outcome. Therefore, we propose that future studies should incorporate clinical data to obtain a better understanding of *P. aeruginosa* infections. Lastly, we only conducted genetic characterization of *P. aeruginosa* isolates and described the presence of genes but did not assess expression levels of resistance associated genes, in which the case of efflux pumps and overexpression of AmpC may contribute to resistance.

Despite these limitations, our study confirms there is a high diversity with different levels of carbapenem resistance among clinical *P. aeruginosa* isolates. *bla*<sub>IMP 26</sub> and other variants of IMP such as *bla*<sub>IMP 15</sub> and *bla*<sub>IMP 51</sub> are disseminated across healthcare settings in Vietnam. We found an association with several STs and these resistance genes and between combinations of genes and MICs. We also reported highly resistant ST1420 and ST3340 isolates co harbouring *bla*<sub>IMP 15</sub> and *bla*<sub>DIM 1</sub>, and seven ST3151 isolates carrying the *bla*<sub>KPC</sub> gene, which could restrict available treatment options in healthcare settings. The 12 ST3151 isolates were detected in 2011–13, but not in 2014–15.

Our results provide a snapshot of the diversity of *P. aeruginosa* in time and space in hospitals in Hanoi, Vietnam, which is extremely important for a better understanding of the emergence and

spread of drug resistance in Vietnam. Our sequencing data presented here was conducted *post hoc*, but the finding of a high diversity of resistant isolates in time and space makes it clear that real time molecular surveillance of resistant isolates and their associated resistance mechanisms is necessary and useful to trace and help limit the spread of these isolates within and between hospitals and to inform infection prevention and control measures.

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## Transparency declarations

None to declare.

## Author contributions

Tran Huy Hoang, H. Rogier van Doorn and Tran Nhu Duong conceived the study and directed its implementation. Tran Huy Hoang, Keigo Shibayama, Masato Suzuki, Anne-Laure Banuls, Vu Thi Ngoc Bich and Dang Duc Anh designed the study. Tran Nhu Duong, Pham Duy Thai, Luu Thi Vu Nga, Vu Phuong Thom, Trinh Hong Son and Tran Huy Hoang managed the implementation of the fieldwork, and Tran Huy Hoang, Tran Hai Anh, Tran Dieu Linh, Ngo Thi Hong Hanh, Nguyen Minh Thao, Trinh Khanh Linh, Vu Thi Ngoc Bich, Pham Ha My and Tran Van Anh undertook the laboratory work. Tran Huy Hoang, Trinh Son Tung, Le Viet Thanh, Vu Thi Ngoc Bich, Ngo Thi Hong Hanh, Tran Hai Anh and Lay-Myint Yoshida did analyses. Tran Huy Hoang, Tran Hai Anh, Vu Thi Ngoc Bich and H. Rogier van Doorn wrote the first draft of the paper. All the authors reviewed and edited drafts of the manuscript and approved the final version.

## Supplementary data

Table S1 and Figure S1 are available as [Supplementary data](#) at JAC-AMR Online.

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