

Population genetic structure of *Plasmodium falciparum* in the two main African vectors, *Anopheles gambiae* and *Anopheles funestus*

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We investigated patterns of genetic diversity of *Plasmodium falciparum* associated with its two main African vectors: *Anopheles gambiae* and *Anopheles funestus*. We dissected 10,296 wild-caught mosquitoes from three tropical sites, two in Cameroon (Simbock and Tibati, separated by 320 km) and one in Kenya (Rota, >2,000 km from the other two sites). We assayed seven microsatellite loci in 746 oocysts from 183 infected mosquito guts. Genetic polymorphism was very high in parasites isolated from both vector species. The expected heterozygosity (H_E) was 0.79 in both species; the observed heterozygosities (H_O) were 0.32 in *A. funestus* and 0.42 in *A. gambiae*, indicating considerable inbreeding within both vector species. Mean selfing (s) between genetically identical gametes was $s = 0.33$. Differences in the rate of inbreeding were statistically insignificant among sites and between the two vector species. As expected, because of the high rate of inbreeding, linkage disequilibrium was very high; it was significant for all 21 loci pairs in *A. gambiae* and for 15 of 21 pairs in *A. funestus*, although only two pairwise comparisons were between loci on the same chromosome. Overall, the genetic population structure of *P. falciparum*, as evaluated by F statistics, was predominantly clonal rather than panmictic, a population structure that facilitates the spread of antimalarial drug and vaccine resistance and thus may impair the effectiveness of malaria control efforts.

malaria | epidemiology | evolutionary genetics | Cameroon | Kenya

Malaria is the most significant and widespread vector-transmitted human disease, accounting yearly for several hundred million clinical cases and >2 million deaths, mostly affecting young children and pregnant women in subSaharan Africa (1). Prevention and cure of the disease are major public-health challenges that are tackled by using several strategies, among them vector control. The transmission of *Plasmodium falciparum*, the agent of malignant malaria, involves a complex vectorial system consisting of ≈ 10 *Anopheles* species, colonizing different ecoclimatic settings, regions, and seasons in strongly variable relative abundances (2–5).

The two most important vectors of malignant malaria in Africa are *Anopheles gambiae* and *Anopheles funestus* because of their widespread distribution, highly anthropophilic and endophilic behavior, and long life spans (6, 7). *A. gambiae* is the most important vector throughout Africa and the most extensively studied *Anopheles* species (8). The effectiveness of malaria transmission emerges from the complementary ecoclimatic attributes and seasonal patterns of both species. *A. funestus* breeds in permanent larval sites that enable this species, in regions of seasonal transmission, to extend parasite transmission far into the dry season, after the temporary breeding pools of *A. gambiae* have dried out (7, 9–11).

Differences in the biology and ecology of these two main vectors might entail a differential impact on the genetic composition of the *P. falciparum* populations they harbor and transmit. We compared patterns of genetic diversity of *P. falciparum* isolated from midguts of wild-collected *A. gambiae* and *A. funestus*. The parasite population genetic structure in its mosquito vectors is of primary relevance to understanding the disease's epidemiology and its evolution (including predicting and monitoring the spread of drug resistance alleles) and to devising effective means for its control.

Most population genetic investigations of *P. falciparum* have focused on the haploid blood stages of the parasite in its human host (12–16). In contrast, we investigated the parasite's genetic diversity with oocysts dissected from mosquito guts, which allows the observation of the products of meiosis and, thus, the direct estimation of the population structure of the parasite. A few earlier studies considered oocysts' genetic diversity but targeted a small number of antigen-coding loci subjected to strong host immune selection (17–18), thus giving a possibly biased view of *P. falciparum* population structure. Using seven presumably neutral microsatellite loci, we have recently demonstrated that *P. falciparum* population structure is predominantly clonal in *A. gambiae* from Kenya (19). We now extend this approach to parasite populations from the two major African vector species, *A. gambiae* s.s. and *A. funestus*, collected in Central (Cameroon) and East Africa (Kenya) across successive years.

Results

Infection Rate and Parasite Distribution. We dissected 10,296 wild-caught sympatric *A. gambiae* s.s. (71%) and *A. funestus* (29%) in three localities: Simbock and Tibati, located in distinct ecoclimatic areas of Cameroon (Central Africa), and Rota in western Kenya (East Africa). Mosquitoes were collected in 2002 (Simbock and Rota), 2003 (all three sites), and 2004 (Simbock). The midguts of 221 [2.1%, 95% confidence interval (C.I.) = 1.88–2.45] mosquitoes were infected with *P. falciparum* oocysts. The proportion of infected mosquitoes was higher for *A. funestus* than for *A. gambiae* in Simbock (4.6 vs. 1.8%, respectively, Fisher's exact test, $P < 10^{-3}$).

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Abbreviations: C.I., confidence interval; chr., chromosome.

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Table 1. Distribution of *P. falciparum* oocysts in *A. gambiae* and *A. funestus* in three African sites and different years

Population	<i>A. gambiae</i> s.s.						<i>A. funestus</i>					
	Mosquito host sample			<i>P. falciparum</i> oocysts within mosquitoes			Mosquito host sample			<i>P. falciparum</i> oocysts within mosquitoes		
	Total	No. infected	Percent infected (95% C.I.)	Total (range)	Mean per mosquito (95% C.I.)	Var/mean ratio	Total	No. infected	Percent infected (95% C.I.)	Total (range)	Mean per mosquito (95% C.I.)	Var/mean ratio
Simbock 2002	867	17	2.0 (1.2, 3.1)	85 (1–30)	5.0 (2.9, 10.3)	14.1	130	2	1.5 (0.3, 5.6)	13 (2–11)	6.5 (2.0, 6.5)	9.6
Simbock 2003	397	9	2.3 (1.1, 4.2)	69 (1–15)	7.7 (3.9, 11.0)	11.4	336	18	5.4 (3.4, 8.3)	71 (1–12)	3.9 (2.6, 5.4)	6.2
Simbock 2004	961	13	1.4 (0.8, 2.3)	79 (1–25)	6.1 (3.1, 11.7)	14.6	275	14	5.1 (3.0, 8.5)	37 (1–8)	2.6 (1.7, 3.9)	4.1
Tibati 2003	3,677	18	0.5 (0.3, 0.8)	189 (1–40)	10.5 (6.1, 17.3)	23.7	1,127	15	1.3 (0.8, 2.2)	132 (1–40)	8.8 (5.1, 16.3)	20.4
Rota 2002	743	20	2.7 (1.7, 4.1)	117 (1–30)	5.8 (4.0, 9.8)	12.5	608	19	3.1 (1.9, 4.8)	189 (1–54)	9.9 (6.1, 18.2)	25.6
Rota 2003	689	51	7.4 (5.6, 9.6)	334 (1–44)	6.5 (4.5, 9.5)	18.6	486	25	5.1 (2.4, 7.6)	104 (1–25)	4.2 (2.4, 7.6)	13.2
Total	7,334	128	1.7 (1.5, 2.1)	873 (1–44)	6.8 (5.6, 8.6)	17.7	2,962	93	3.1 (2.6, 3.8)	546 (1–54)	5.9 (4.5, 7.9)	17.6

and Tibati (1.3 vs. 0.5%, respectively, $P = 0.006$), whereas no significant difference between the two species was observed in Rota (4 vs. 4.9% respectively, $P = 0.3$).

The number of oocysts per infected mosquito (Table 1) did not differ significantly between the two species: There were 6.8 oocysts per midgut (C.I. = 5.6–8.6) for *A. gambiae* and 5.9 (C.I. = 4.5–7.9) for *A. funestus*, either overall or within each site (bootstrap two-sample *t* test, *P* = 0.4). The frequency distribution of oocysts per mosquito was similar for the two species (stochastic equality test, *P* = 0.2). The index of discrepancy was 0.99 for both species; the variance-to-mean ratios were 17.7 and 17.6 (Table 1). These values are characteristic of the highly aggregated oocyst distributions generally observed in anopheline mosquitoes, with a high proportion of the parasites concentrated in a small fraction of hosts (19–21).

Genetic Polymorphism. We assayed seven previously described microsatellite loci (13, 19, 22) located on five chromosomes (chr.): *POLYa* (chr. 4), *TA60* (chr. 13), *ARA2* (chr. 11), *Pfg377* and *PfPK2* (chr. 12), and *TA87* and *TA109* (chr. 6). The analyses were based on 746 oocysts successfully isolated from 183 mosquito guts: seven loci amplified from 678 oocysts, plus six and five loci genotyped from additional 48 and 20 oocysts, respectively. The allele frequencies are given in [supporting information \(SI\) Table 3](#).

All loci were highly polymorphic, showing an average ($\pm$ SE) of 15.9 ($\pm$ 2.2) alleles per locus, ranging from 7 in Pfg377 to 23 in TA109. The mean allelic richness of parasite subpopulations within mosquitoes across sites and samples was identical in the two vectors (1.79 ± 0.05 for *A. gambiae* and 1.79 ± 0.04 for *A. funestus*) and did not differ significantly within each site between the two species (Wilcoxon two-sample test, $P = 0.7$).

The genotypic frequencies suggested considerable inbreeding in the *P. falciparum* populations of either vector. The total observed and expected frequencies of heterozygotes were, respectively, $H_O = 0.42 \pm 0.03$ and $H_E = 0.79 \pm 0.05$ in *A. gambiae* and $H_O = 0.32 \pm 0.03$ and $H_E = 0.79 \pm 0.04$ in *A. funestus*. The two species did not differ in the mean expected heterozygosities of their parasite populations (SI Table 3) within each site or within the total sample (Wilcoxon two-sample tests, $P > 0.3$).

The discrepancy between observed and expected heterozygosities remained high and significant for each locus and within each mosquito species when we considered only mosquito guts with 10 or more oocysts per gut ($H_O = 0.38 \pm 0.02$ and $H_E = 0.79 \pm 0.04$ in *A. gambiae* and $H_O = 0.35 \pm 0.05$ and $H_E = 0.70 \pm 0.05$ in *A. funestus*).

Population Structure. We used F statistics to explore the pattern and distribution of heterozygote deficits. For the whole population of oocysts distributed in their respective mosquito hosts (subpopulations), the total heterozygote deficit F_{IT} , which measures the overall

departure from panmixia, was $F_{IT} = 0.53$ (C.I. = $0.49\text{--}0.56$, $P < 10^{-4}$). For oocyst subpopulations from the two species separately, $F_{IT} = 0.47$ (C.I. = $0.43\text{--}0.51$, $P < 10^{-4}$) for *A. gambiae* and $F_{IT} = 0.60$ (C.I. = $0.57\text{--}0.64$, $P < 10^{-4}$) for *A. funestus*. This difference was due to the fraction of the total allelic variance of *P. falciparum* oocysts that was distributed among mosquitoes in the two vector species (see section titled F_{ST} between mosquitoes). The total heterozygote deficit F_{IT} can be partitioned into two components: F_{IS} , which measures the deficit due to the deviation from panmixia within a mosquito gut, i.e., the deviation from random union of gametes within each oocyst relative to gametes from different oocysts within the same mosquito; and F_{ST} , which measures the heterozygote deficit due to the partitioning of the total allelic variance among populations. This latter measure can be further split into a component that measures heterozygote deficit due to differences between subpopulations (oocysts within mosquito guts) within a sample (F_{ST} between mosquitoes) and one that measures heterozygote deficit due to the three additional parameters that contribute to population structure: sampling date, site, and *Anopheles* species.

***F_{IS}* within mosquitoes.** *F_{IS}* measures departure from panmixia due to the nonrandom association of alleles within oocysts in mosquitoes (23). *F_{IS}* was calculated for the 112 mosquitoes (subpopulations) with at least two oocysts. The average *F_{IS}* was 0.18 (C.I. = 0.14–0.23, $P < 10^{-4}$) for *A. gambiae* (68 subpopulations) and 0.23 (C.I. = 0.17–0.28, $P < 10^{-4}$) for *A. funestus* (44 subpopulations). Single-locus *F_{IS}* estimates were also positive and significant (Fig. 1A) and did not differ between species when all loci were combined (Wilcoxon two-sample test, $P = 0.3$) or treated separately (see C.I. 95% of Fig. 1A).

We estimated the selfing rate (s) from the relationship $F_{IS} = s/(2 - s)$, assuming the F_{IS} within mosquitoes only resulted from self-fertilization. The mean selfing rate for the whole sample was $s = 0.33$ (C.I. = 0.24–0.42); for each vector species, $s = 0.30$ (C.I. = 0.24–0.37) for *A. gambiae* and 0.37 (C.I. = 0.29–0.45) for *A. funestus*.

F_{ST} between mosquitoes. A nonrandom distribution of genotypes among mosquitoes accounted for the largest component of the departure from panmixia. For the total population, $F_{ST} = 0.41$ (C.I. = 0.39–0.43, $P < 10^{-4}$); for *A. gambiae*, $F_{ST} = 0.35$ (C.I. = 0.33–0.37, $P < 10^{-4}$); and for *A. funestus*, $F_{ST} = 0.50$ (C.I. = 0.48–0.52, $P < 10^{-4}$). The variation among samples ranges from $F_{ST} = 0.27$ (C.I. = 0.25–0.29) for *A. gambiae* in Simbock to $F_{ST} = 0.59$ (C.I. = 0.57–0.62) for *A. funestus* in Rota (Fig. 1B). The F_{ST} values observed between mosquitoes were similar to those previously observed for populations of *P. falciparum* from Papua New Guinea (13) or from Kenya (for example, average $F_{ST} = 0.36$ for 11 localities in Kenya; see ref. 19).

F_{ST} between sites. There were no significant differences among sampling sites: F_{ST} ranged from 0.004 to 0.02 ($P > 0.1$) using

The seven microsatellite loci are distributed among five different chromosomes; therefore, only 2 of 21 pairwise comparisons involved loci on the same chromosome (19).

Discussion

Our results address three issues with important epidemiological consequences: the degree of inbreeding and the extent to which the population structure of *P. falciparum* is closer to clonal rather than panmictic; the degree of genetic differentiation among geographically separated populations of *P. falciparum*; and the distribution of the *Plasmodium* oocyst pool within its major mosquito vector species, *A. gambiae* and *A. funestus*, which may be indicative of a differential effectiveness in malaria transmission between the two species.

The “clonal theory” of parasitic protozoa (25) proposed that the population structure of many parasitic protozoa, and in particular malaria, appears to be a result of clonal propagation rather than of randomly mating parasites, although the relative importance of both reproductive mechanisms can vary within and among parasitic species in different regions and epidemiological settings. *Plasmodium* was considered a likely exception because this idea seems to be in conflict with the biology of the species: The transmission of the parasite from a human host to another necessarily requires a sexual stage in the mosquito vector and, thus, the formation of gametes, fertilization, and meiosis. However, although the sexual reproduction in the mosquito host is obligatory, it does not necessarily result in recombination between genetically distinct gametes. If the combining gametes both derive from the same clonal population of haploid ancestors, fertilization will occur between genetically identical male and female gametocytes, and thus physiological sexuality does not necessarily imply genetic sexuality. The issue remains of the extent to which fertilization will imply genetically identical or different gametes, in natural populations of *P. falciparum* sampled from different vector species, and/or in different epidemiological settings.

The extent of cross-fertilization and panmixia relative to clonal reproduction in *P. falciparum* has indeed been the subject of considerable debate during the last decade (13, 19, 26–30). The current consensus is that this parasite species displays a range of population structures associated with the levels of transmission intensity (13). Regions of high *P. falciparum* transmission intensity correlate with high prevalence (31–32) and high genetic diversity of the parasite (13, 15, 33), which result in a high proportion of (genetically) mixed infections in humans. Increased genetic diversity in the human host increases the probability that the mosquito will obtain genetically distinct gametocytes with its blood meal. This, in turn, increases the probability of parasite outcrossing within the mosquito gut (34–35). Conversely, higher levels of inbreeding and clonal population structure are expected in regions with lower transmission rates (13, 36).

We collected our mosquitoes in three different African regions of high-transmission intensity, with an annual entomologic inoculation rate ranging between 100 and 300 infective bites per person per year (2, 37). Accordingly, we observed high genetic diversity of *P. falciparum* in each of the two vector species: $H_E = 0.79 \pm 0.05$ for *A. gambiae* and $H_E = 0.79 \pm 0.04$ for *A. funestus*. These values are similar to those obtained in a recent study using the same microsatellite loci to explore the level of genetic diversity in *P. falciparum* oocyst stages in Kenya ($H_E = 0.76$ – 0.88 ; ref. 19). Our results are also consistent with several investigations conducted on haploid blood stages in highly endemic African populations (12, 13, 15, 38, 39).

In agreement with the clonal theory and despite the high endemicity, we found strong and highly significant heterozygote deficits at the subpopulation (mosquito) level: In both *A. gambiae* and *A. funestus*, the observed heterozygosities were only approximately one-half of the expected values under the hypothesis of random union of the gametes. This led to estimates of the self-

fertilization index (s) of 0.30 and 0.37, respectively, for *A. gambiae* and *A. funestus*, i.e., that approximately one gametocyte of every three or four is involved in selfing. These selfing values are similar to those previously reported for the oocyst populations of *A. gambiae* in Kenya: $s = 0.25$ ($F_{IS} = 0.15$) (19).

Read *et al.* (40) estimated from observations of gametocyte sex ratios in the peripheral blood of patients from Madang in Papua New Guinea that 62% of all *P. falciparum* matings are between genetically identical gametes. Based on evidence from oocyst genotyping using antigen-coding loci, overall inbreeding coefficients were estimated to be 0.90 in Papua New Guinea (18) but 0.34 in Tanzania (17). This difference was attributed to the lower levels of malaria transmission in Papua New Guinea as compared with Tanzania (18). However, in an earlier study (19), we observed that selfing in *P. falciparum* is not a local strategy that might occur in some but not other localities or occasions, but rather that it is a generalized life history trait of *P. falciparum*, which occurs even in high-transmission regions. Moreover, the observation made earlier (19) that there is in *P. falciparum* a considerable proportion of additional inbreeding due to nonrandom distribution of oocyst genotypes among mosquito guts (average $F_{ST} = 0.35$ for 11 *P. falciparum* populations from Kenya infecting *A. gambiae*) was confirmed in the present study for the two vectors, *A. gambiae* and *A. funestus* (average $F_{ST} = 0.35$ and 0.50 , respectively).

The evidence of considerable inbreeding derived from heterozygote deficits was confirmed by the high level of linkage disequilibrium between loci observed in all three localities and in either vector species. High linkage disequilibrium can be due to the high level of inbreeding arising both from selfing and from the consequent high relatedness among oocysts within each mosquito, so that there is little opportunity for recombination. Similar results have been obtained with parasitized blood samples (13, 17, 19, 39, 41) from different African regions and different years or seasons displaying a very wide variety of transmission intensities. The conclusion is that the population structure of *P. falciparum* is predominantly clonal and that this is the case for parasites obtained from both of the two major vectors of *P. falciparum* in Africa, *A. gambiae* and *A. funestus*. Thus, *P. falciparum* cannot be considered a panmictic species even in African regions with the highest endemicity. This has important epidemiological consequences: nonrandom mating and “clonality” in highly endemic regions can facilitate the spread of antimalarial drug resistance (42) and thus rapidly impair the effectiveness of measures for malaria control if monitoring lags behind.

How much genetic differentiation occurs among different regions or among vector species also has considerable epidemiological consequences, one of the most important being the understanding of the spread of the parasite resistance to drugs and vaccine (42). The degree of geographic differentiation between populations separated by ≈ 320 km (Simbock to Tibati) or $>2,000$ km (Cameroon to Kenya) is significant but quite low, $F_{ST} = 0.02$ to 0.12 , by one measure (FSTAT version 2.9.4.) and not significant, $F_{ST} = 0.004$ to 0.02 , by a different statistical method (HIERFSTAT; see Results). Bogreau *et al.* (39) have observed in blood samples a substantial degree of differentiation between urban and rural sites at the scale of the African continent ($F_{ST} = 0.17$ – 0.24). However, microsatellite investigations of blood stages, conducted in highly endemic regions, are consistent with those reported in the present paper, showing low levels of geographic differentiation even over considerable distances. Geographic differentiation is weak among four Sudan sites separated by 420 km ($F_{ST} = 0.006$ – 0.11 ; ref. 12). Similarly, the genetic differentiation among microsatellite loci from three different countries is very low ($F_{ST} = 0.04$; ref. 27). From human bloodstages, Anderson *et al.* (13) noted that the genetic variation of *P. falciparum* at the African scale is distributed mostly within localities and not at all or very little among them ($F_{ST} = 0.003$ – 0.012), despite distances $>2,000$ km. We found the same

randomizing oocyst genotypes between subpopulations (mosquitoes) within each population (site). Because FSTAT version 2.9.4 is designed for a two-level model and cannot take into account the three-level structure of our data set, differentiation between sites was estimated in two ways. First, the fixation index F_{ST} was tested by using FSTAT version 2.9.4 on all oocysts sampled in each locality by randomizing oocyst genotypes between populations relative to total. Second, because the highly significant differentiation observed between subpopulations strongly affects population differentiation computed between oocyst populations from different vectors, sites, or years (53), the fixation index was also estimated by using HIERFSTAT version 0.04-2 (54), which computes F statistics from any number of hierarchical levels, enabling us to take into account the highly significant effect of the mosquito level on parasite population structure to estimate spatial differentiation between populations. Significance of the hierarchical F statistics was assessed by 1,000 permutations of subpopulations (mosquitoes) among populations (sites). The crossed factor “date of sampling” was also estimated, and its significance was assessed by using HIERFSTAT version 0.04-2.

The *Anopheles* species cannot be considered as a hierarchical level because mosquitoes from different species can feed on the same human host. To measure and illustrate levels of genetic differentiation between *P. falciparum* harbored by the two vectors in the three sites, a canonical correspondence analysis was first carried out by using CANOCO software. Canonical correspondence analysis searches for multivariate relationships between two data sets (e.g., genetic data and species environmental data) (55).

Only oocysts for which all seven microsatellite loci could be scored were considered. The significance of the canonical axes was tested with a Monte Carlo permutation test (24), which allows estimation of the 95% C.I.s of the centroids of each population. A second analysis used FSTAT version 2.9.4., performing 20 random samplings of two equal sets of oocyst subpopulations within each *Anopheles* species in each site, to estimate and assess the significance of 20 F_{ST} pair values for each species. These intraspecies F_{ST} values were compared with the interspecies F_{ST} values of the oocyst subpopulations of the two species in each site.

Linkage disequilibrium between pairs of loci was measured with the correlation coefficient R generalized for the multiallelic case. Statistical significance was assessed by a permutation test: Genotypes at two loci are associated at random a number of times, and the log-likelihood G test statistic is recalculated on the randomized data. We applied a Bonferroni correction to the P values (P value times the number of tests), as implemented in FSTAT version 2.9.4.

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